



# Capillary effects on groundwater waves in unconfined coastal aquifers

Jun Kong, Cheng-Ji Shen, Pei Xin, Zhi-Yao Song, Ling Li, D.A. Barry, D.-S. Jeng, D. A. Lockington, F. Stagnitti and J.-Y. Parlange



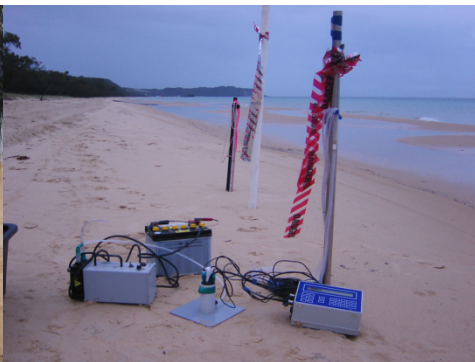
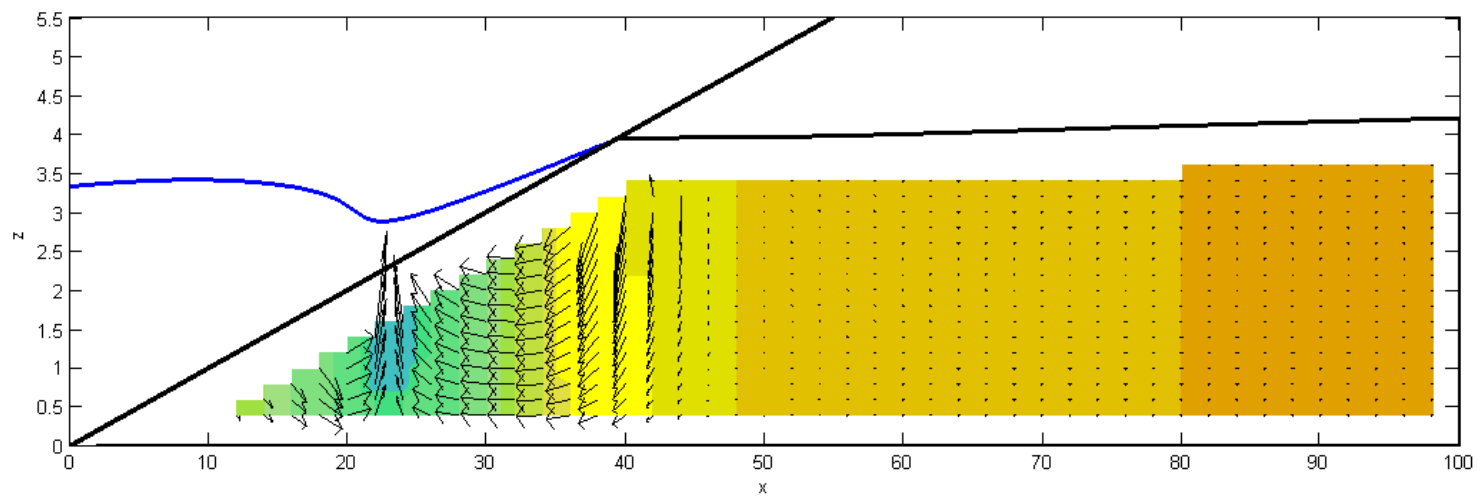
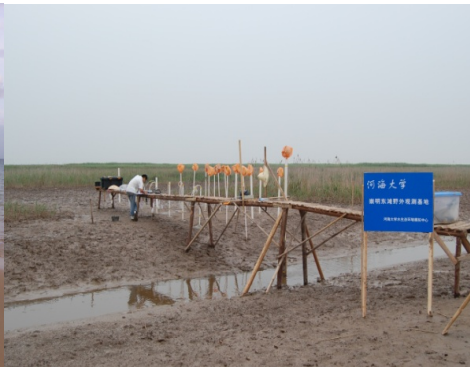
# Outline

- Parlange and Brutsaert 1987
- Barry et al. 1996
- Li et al. 1997, 2000
- Liu and Wen 1997
- Nielsen and Perrochet 2000
- Jeng et al. 2003, 2005
- Cartwright et al. 2005, 2006
- Xin et al. 2010
- Kong et al. 2012



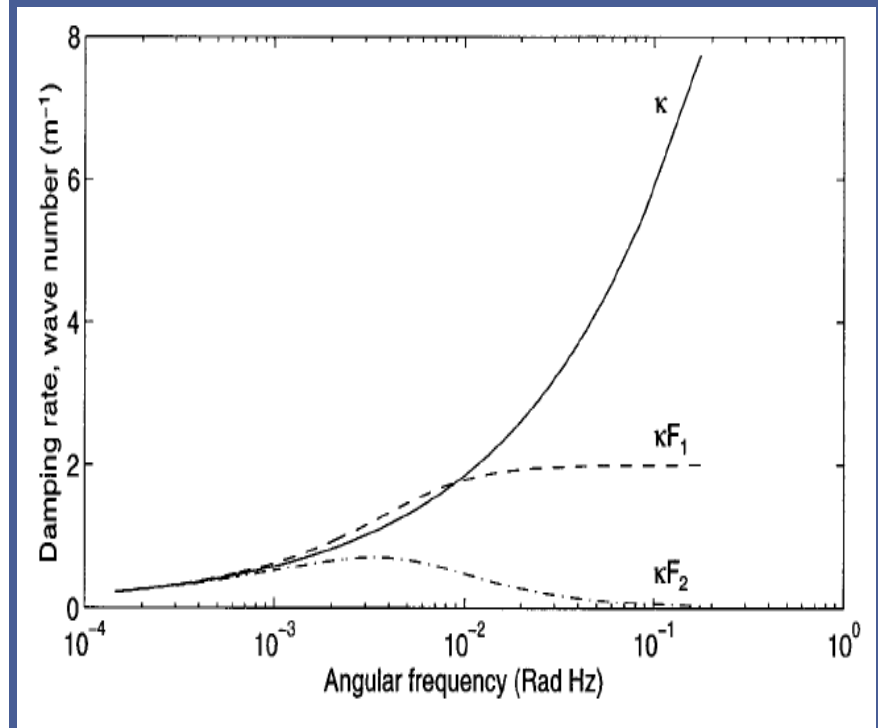
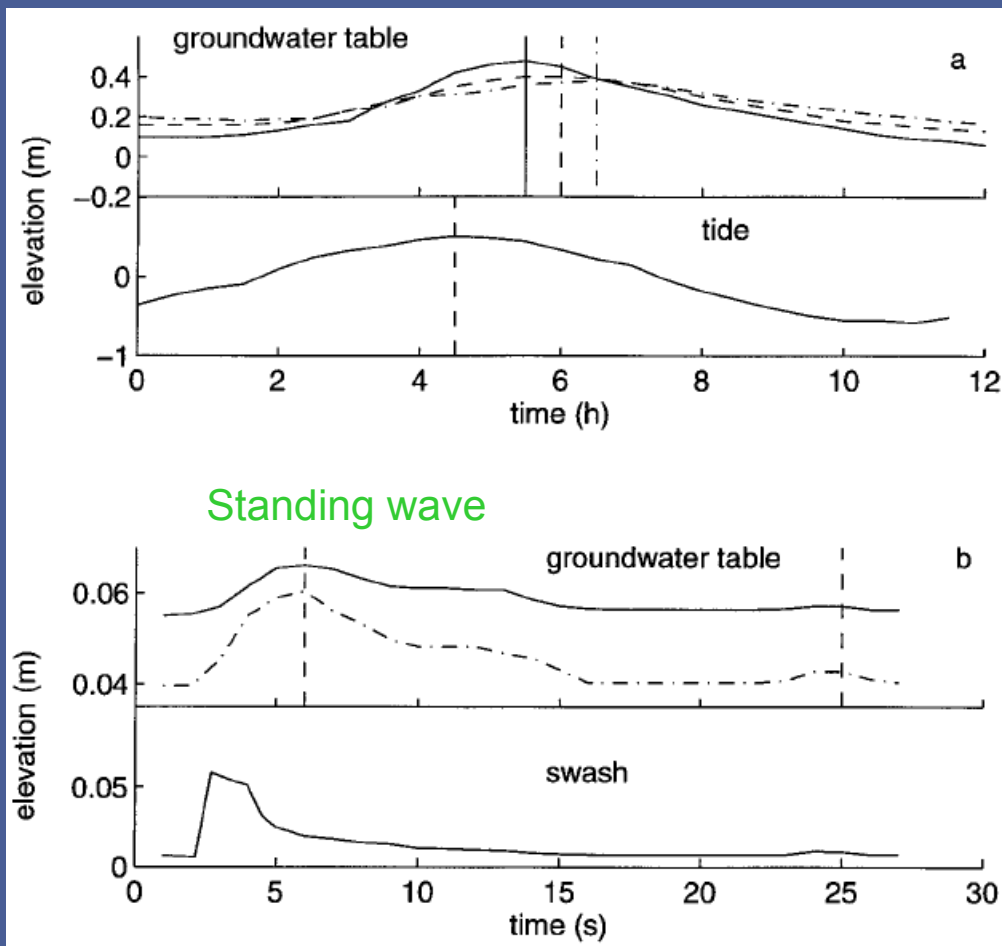






# High frequency watertable fluctuations

- Tide- and wave-induced groundwater table fluctuations



# Starting point and early work

- Parlange and Brutsaert 1987 – modified Boussinesq equation

$$n_e \frac{\partial \eta}{\partial t} = K \frac{\partial}{\partial x} \left( \eta \frac{\partial \eta}{\partial x} \right) + B \frac{\partial^2}{\partial t \partial x} \left( \eta \frac{\partial \eta}{\partial x} \right) \quad B = \int_{-\infty}^0 (\theta - \theta_{res}) d\psi$$

- Barry et al. 1996: solution for periodic forcing

$$\eta(0, t) = D[1 + \varepsilon \cos(\omega t)]$$

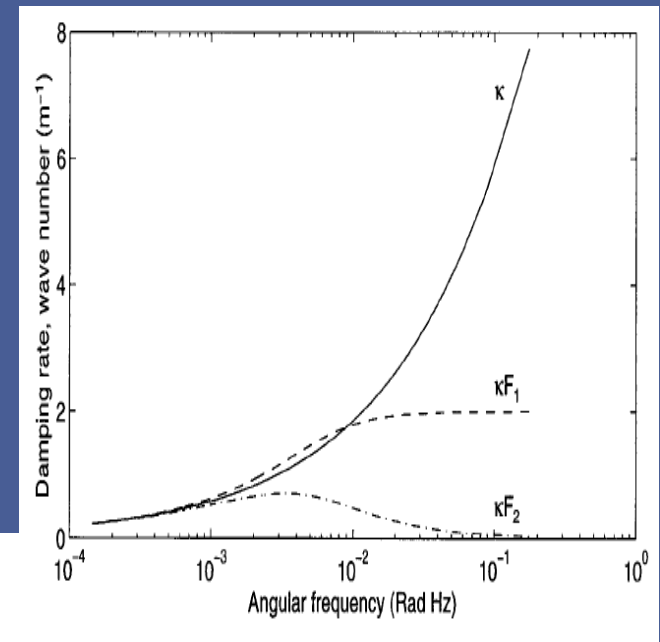
$$\eta(x, t) = D[1 + \varepsilon \exp(-x\kappa F_1) \cos(\omega t - x\kappa F_2)]$$

$$\kappa = \sqrt{\frac{n_e \omega}{2KD}},$$

$$F_1 = \sqrt{\frac{N_{CAR}}{\sqrt{1 + N_{CAR}^2}} + \frac{N_{CAR}}{1 + N_{CAR}^2}},$$

$$F_2 = \sqrt{\frac{N_{CAR}}{\sqrt{1 + N_{CAR}^2}} - \frac{N_{CAR}}{1 + N_{CAR}^2}}.$$

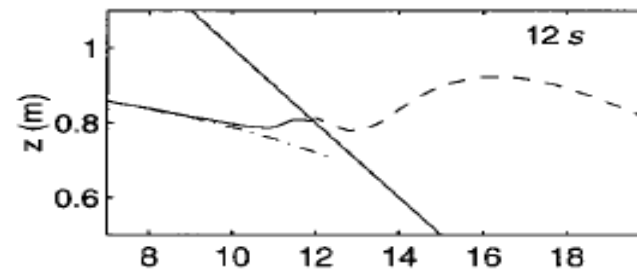
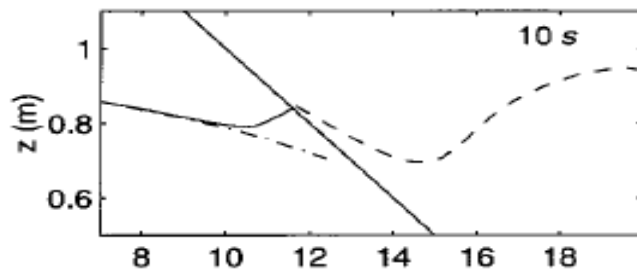
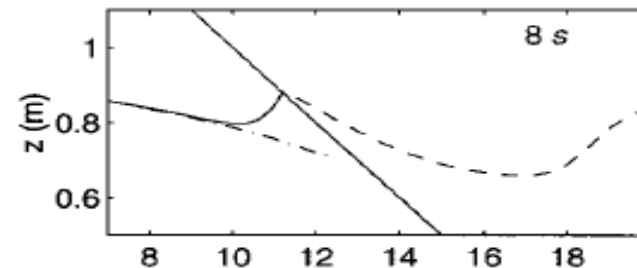
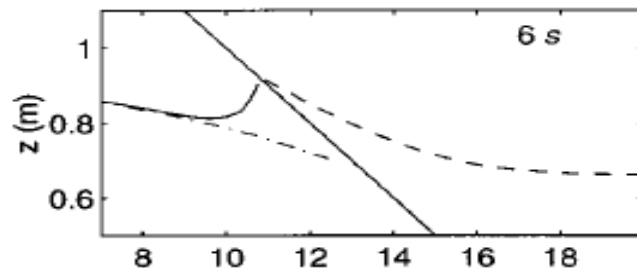
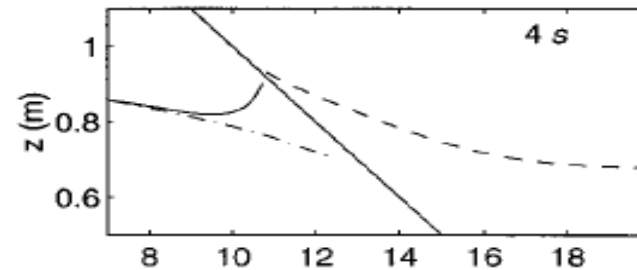
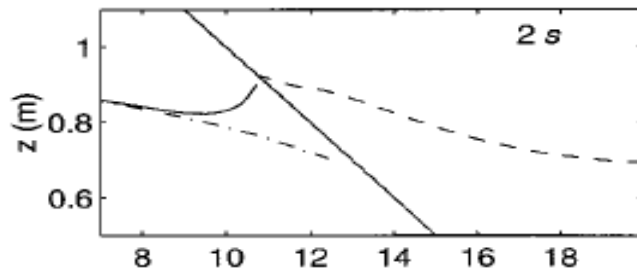
$$N_{CAR} = \frac{K}{B\omega},$$



# Starting point and early work

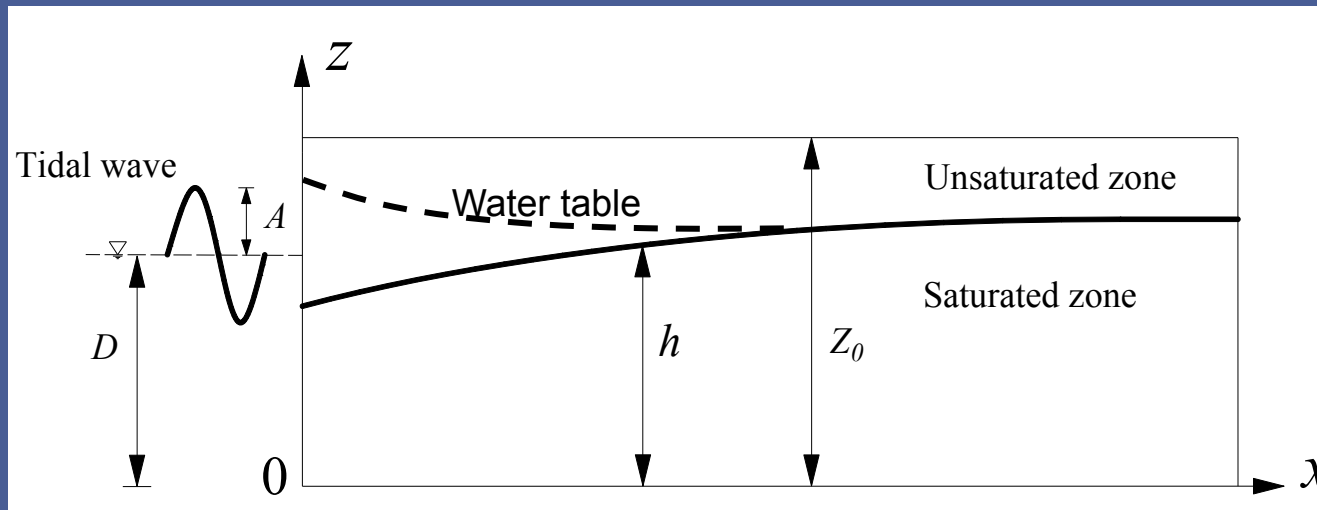
- Li et al. 1997 – high frequency groundwater wave

$$\frac{\partial \phi}{\partial t} = -\frac{K}{n_e \cos \beta} \frac{\partial \phi}{\partial n} - \frac{B}{n_e \cos \beta} \frac{\partial}{\partial t} \left( \frac{\partial \phi}{\partial n} \right), z = \eta(x, t)$$



# Kong et al. 2012

- Capillarity and capping effects ( $Z_0$ )



$$\frac{\partial \theta}{\partial t} = \frac{\partial}{\partial x} \left[ K(\psi) \frac{\partial \Phi}{\partial x} \right] + \frac{\partial}{\partial z} \left[ K(\psi) \frac{\partial \Phi}{\partial z} \right]$$

$$\Phi = \psi + z$$

$$\theta = (\theta_s - \theta_r) e^{\alpha \psi} + \theta_r$$

$$K(\psi) = K_s e^{\alpha \psi}$$

(1) hydrostatic

$$\Phi = h \quad \psi = h - z$$

(2) non-hydrostatic

$$\psi = h - z + P$$



## (1) Hydrostatic case

- Integration from 0 to  $Z_0 \rightarrow$  governing equation

$$\begin{aligned} & n_e \left[ 1 - e^{\alpha(h-Z_0)} \right] \frac{\partial h}{\partial t} \\ &= K_s \frac{\partial}{\partial x} \left\{ h \frac{\partial h}{\partial x} + \frac{1}{\alpha} \left[ 1 - e^{\alpha(h-Z_0)} \right] \frac{\partial h}{\partial x} \right\} - K_s e^{\alpha(h-Z_0)} \frac{\partial h}{\partial x} \frac{\partial Z_0}{\partial x} \end{aligned}$$

- Recovery of the Boussinesq equation (with  $\alpha \rightarrow$  infinity)

$$n_e \frac{\partial h}{\partial t} = K_s \frac{\partial}{\partial x} \left( h \frac{\partial h}{\partial x} \right)$$

## (2) Non-hydrostatic case

- Integration from 0 to  $Z_0 \rightarrow$  governing equation  
– Determination of  $P$

$$\frac{\partial \theta}{\partial t} + \frac{\partial u}{\partial x} + \frac{\partial w}{\partial z} = 0$$

$$w = -K_s e^{\alpha(h-z)} \frac{\partial P}{\partial z}$$

$$w = \int_z^{Z_0} \frac{\partial \theta}{\partial t} dz + \int_z^{Z_0} \frac{\partial u}{\partial x} dz$$

$$\begin{aligned} w &= n_e \frac{\partial h}{\partial t} \left[ e^{\alpha(h-z)} - e^{\alpha(h-Z_0)} \right] + K_s \left[ \left( \frac{\partial h}{\partial x} \right)^2 + \frac{1}{\alpha} \frac{\partial^2 h}{\partial x^2} \right] \left[ e^{\alpha(h-Z_0)} - e^{\alpha(h-z)} \right] \\ &= \left[ e^{\alpha(h-z)} - e^{\alpha(h-Z_0)} \right] \left\{ n_e \frac{\partial h}{\partial t} - K_s \left[ \left( \frac{\partial h}{\partial x} \right)^2 + \frac{1}{\alpha} \frac{\partial^2 h}{\partial x^2} \right] \right\} \end{aligned}$$

$$\begin{aligned} P(z) &= - \int_z^{Z_0} \frac{\partial P}{\partial z} dz = - \left[ \frac{n_e}{K_s} \frac{\partial h}{\partial t} - \left( \frac{\partial h}{\partial x} \right)^2 - \frac{1}{\alpha} \frac{\partial^2 h}{\partial x^2} \right] \int_z^{Z_0} \left[ e^{\alpha(z-Z_0)} - 1 \right] dz \\ &= \left[ \frac{1}{\alpha} \frac{\partial^2 h}{\partial x^2} - \frac{n_e}{K_s} \frac{\partial h}{\partial t} + \left( \frac{\partial h}{\partial x} \right)^2 \right] \left[ \frac{1}{\alpha} - \frac{1}{\alpha} e^{\alpha(z-Z_0)} - Z_0 + z \right] \end{aligned}$$

$$\frac{\partial P}{\partial x} = \left[ \frac{1}{\alpha} \frac{\partial^3 h}{\partial x^3} + 2 \frac{\partial h}{\partial x} \frac{\partial^2 h}{\partial x^2} - \frac{n_e}{K_s} \frac{\partial^2 h}{\partial x \partial t} \right] \left[ \frac{1}{\alpha} - \frac{1}{\alpha} e^{\alpha(z-Z_0)} - Z_0 + z \right]$$

## (2) Non-hydrostatic case

- Integration from 0 to  $Z_0 \rightarrow$  governing equation
  - Correction due to  $P$

$$\begin{aligned}
 & \int_h^{Z_0} K_s e^{\alpha(h-z)} \frac{\partial P}{\partial x} dz \\
 &= K_s \left[ \frac{1}{\alpha} \frac{\partial^3 h}{\partial x^3} + 2 \frac{\partial h}{\partial x} \frac{\partial^2 h}{\partial x^2} - \frac{n_e}{K_s} \frac{\partial^2 h}{\partial x \partial t} \right] \int_h^{Z_0} \left\{ e^{\alpha(h-z)} \left[ \frac{1}{\alpha} - \frac{1}{\alpha} e^{\alpha(z-Z_0)} - Z_0 + z \right] \right\} dz \\
 &= K_s \left[ \frac{1}{\alpha} \frac{\partial^3 h}{\partial x^3} + 2 \frac{\partial h}{\partial x} \frac{\partial^2 h}{\partial x^2} - \frac{n_e}{K_s} \frac{\partial^2 h}{\partial x \partial t} \right] \left[ -\frac{2}{\alpha^2} e^{\alpha(h-Z_0)} + \frac{h-Z_0}{\alpha} e^{\alpha(h-Z_0)} + \frac{2}{\alpha^2} + \frac{h-Z_0}{\alpha} \right] \\
 &\approx \frac{n_e}{\alpha^2} \left[ 2e^{\alpha(h-Z_0)} - 2 + \alpha(Z_0 - h)e^{\alpha(h-Z_0)} + \alpha(Z_0 - h) \right] \frac{\partial^2 h}{\partial x \partial t}
 \end{aligned}$$

- Governing equation

$$F n_e \frac{\partial h}{\partial t} = K_s \frac{\partial}{\partial x} \left( M h \frac{\partial h}{\partial x} \right) + \frac{\partial}{\partial x} \left( N \frac{\partial^2 h}{\partial x \partial t} \right) \quad F = 1 - e^{\alpha(h-Z_0)}$$

$$M = 1 + \frac{1}{\alpha h} \left[ 1 - e^{\alpha(h-Z_0)} \right] \quad N = \frac{n_e}{\alpha^2} \left[ 2e^{\alpha(h-Z_0)} - 2 + \alpha(Z_0 - h)e^{\alpha(h-Z_0)} + \alpha(Z_0 - h) \right]$$



## (2) Non-hydrostatic case

- Integration from 0 to  $Z_0 \rightarrow$  governing equation
  - Correction due to  $P$

$$\begin{aligned}
 & \int_h^{Z_0} K_s e^{\alpha(h-z)} \frac{\partial P}{\partial x} dz \\
 &= K_s \left[ \frac{1}{\alpha} \frac{\partial^3 h}{\partial x^3} + 2 \frac{\partial h}{\partial x} \frac{\partial^2 h}{\partial x^2} - \frac{n_e}{K_s} \frac{\partial^2 h}{\partial x \partial t} \right] \int_h^{Z_0} \left\{ e^{\alpha(h-z)} \left[ \frac{1}{\alpha} - \frac{1}{\alpha} e^{\alpha(z-Z_0)} - Z_0 + z \right] \right\} dz \\
 &= K_s \left[ \cancel{\frac{1}{\alpha} \frac{\partial^3 h}{\partial x^3}} + 2 \cancel{\frac{\partial h}{\partial x} \frac{\partial^2 h}{\partial x^2}} - \frac{n_e}{K_s} \frac{\partial^2 h}{\partial x \partial t} \right] \left[ -\frac{2}{\alpha^2} e^{\alpha(h-Z_0)} + \frac{h-Z_0}{\alpha} e^{\alpha(h-Z_0)} + \frac{2}{\alpha^2} + \frac{h-Z_0}{\alpha} \right] \\
 &\approx \frac{n_e}{\alpha^2} \left[ 2e^{\alpha(h-Z_0)} - 2 + \alpha(Z_0 - h)e^{\alpha(h-Z_0)} + \alpha(Z_0 - h) \right] \frac{\partial^2 h}{\partial x \partial t}
 \end{aligned}$$

– Governing equation

$$F n_e \frac{\partial h}{\partial t} = K_s \frac{\partial}{\partial x} \left( M h \frac{\partial h}{\partial x} \right) + \frac{\partial}{\partial x} \left( N \frac{\partial^2 h}{\partial x \partial t} \right) \quad F = 1 - e^{\alpha(h-Z_0)}$$

$$M = 1 + \frac{1}{\alpha h} \left[ 1 - e^{\alpha(h-Z_0)} \right] \quad N = \frac{n_e}{\alpha^2} \left[ 2e^{\alpha(h-Z_0)} - 2 + \alpha(Z_0 - h)e^{\alpha(h-Z_0)} + \alpha(Z_0 - h) \right]$$

## (2) Non-hydrostatic case

- With  $\alpha \rightarrow$  infinity (absence of the unsaturated zone), the governing equation also  $\rightarrow$  BE

$$n_e \frac{\partial h}{\partial t} = K_s \frac{\partial}{\partial x} \left( h \frac{\partial h}{\partial x} \right)$$

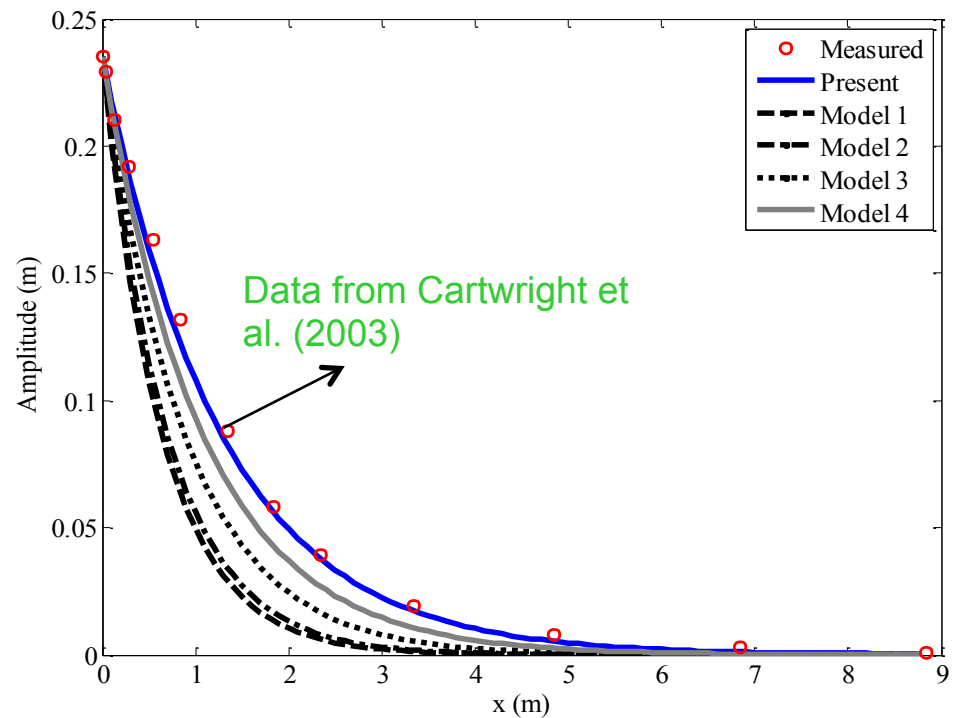
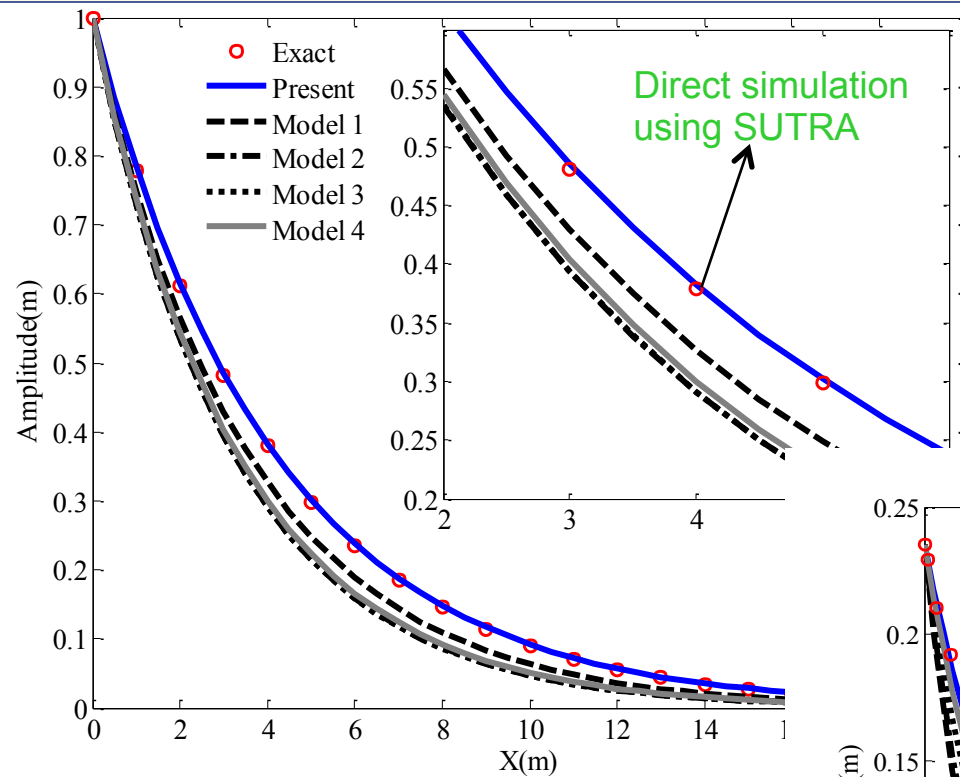
- With vertical flow also considered for the saturated zone

$$N = \frac{n_e}{\alpha^2} \left[ 2e^{\alpha(h-Z_0)} - 2 + \alpha(Z_0 - h)e^{\alpha(h-Z_0)} + \alpha(Z_0 - h) \right] + \frac{D^2}{3} n_e$$



Liu and Wen 1997

# Validation: damping





# Analytical approximation

- Perturbation solution (1<sup>st</sup> order) based on  $\varepsilon = A/D$

$$h = D + \exp(-x k_{US} F_1) \cos(\omega t - x k_{US} F_2)$$

$$k_{US} = \sqrt{\frac{R_1 \omega}{2 R_2}}$$

$$R_1 = n_e \left[ 1 - e^{\alpha(D-Z_0)} \right] \quad R_2 = K_s D + K_s \frac{1}{a} \left[ 1 - e^{\alpha(D-Z_0)} \right]$$

$$R_3 = \frac{n_e}{\alpha^2} \left[ 2e^{\alpha(D-Z_0)} - 2 + \alpha(Z_0 - D)e^{\alpha(D-Z_0)} + \alpha(Z_0 - D) + \frac{D^2 \alpha^2}{3} \right]$$

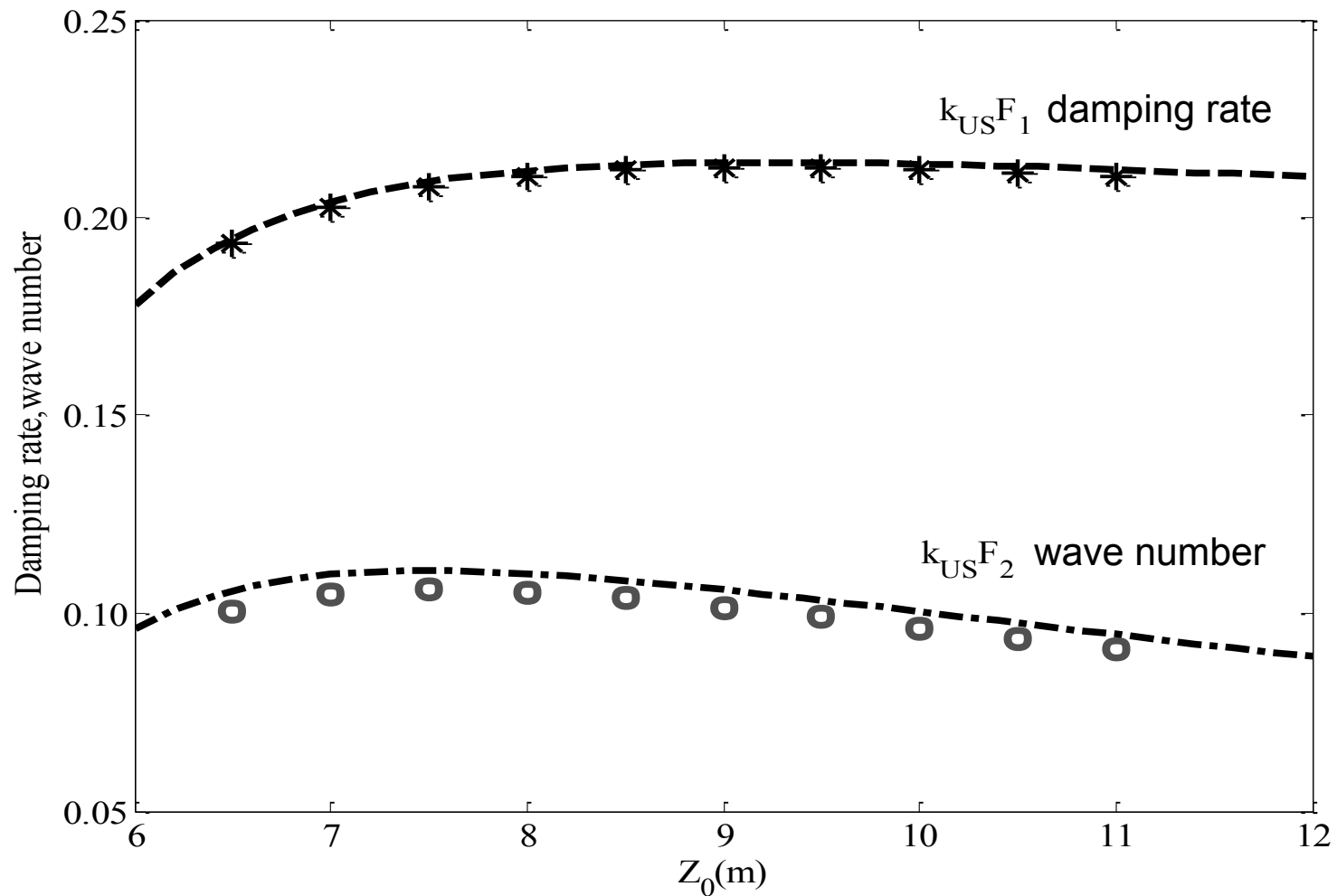
$$F_1 = \sqrt{\frac{N_{US}}{\sqrt{1 + N_{US}^2}}} + \frac{N_{US}}{1 + N_{US}^2}$$

$$F_2 = \sqrt{\frac{N_{US}}{\sqrt{1 + N_{US}^2}}} - \frac{N_{US}}{1 + N_{US}^2}$$

$$N_{US} = \frac{R_2}{R_3 \omega}$$

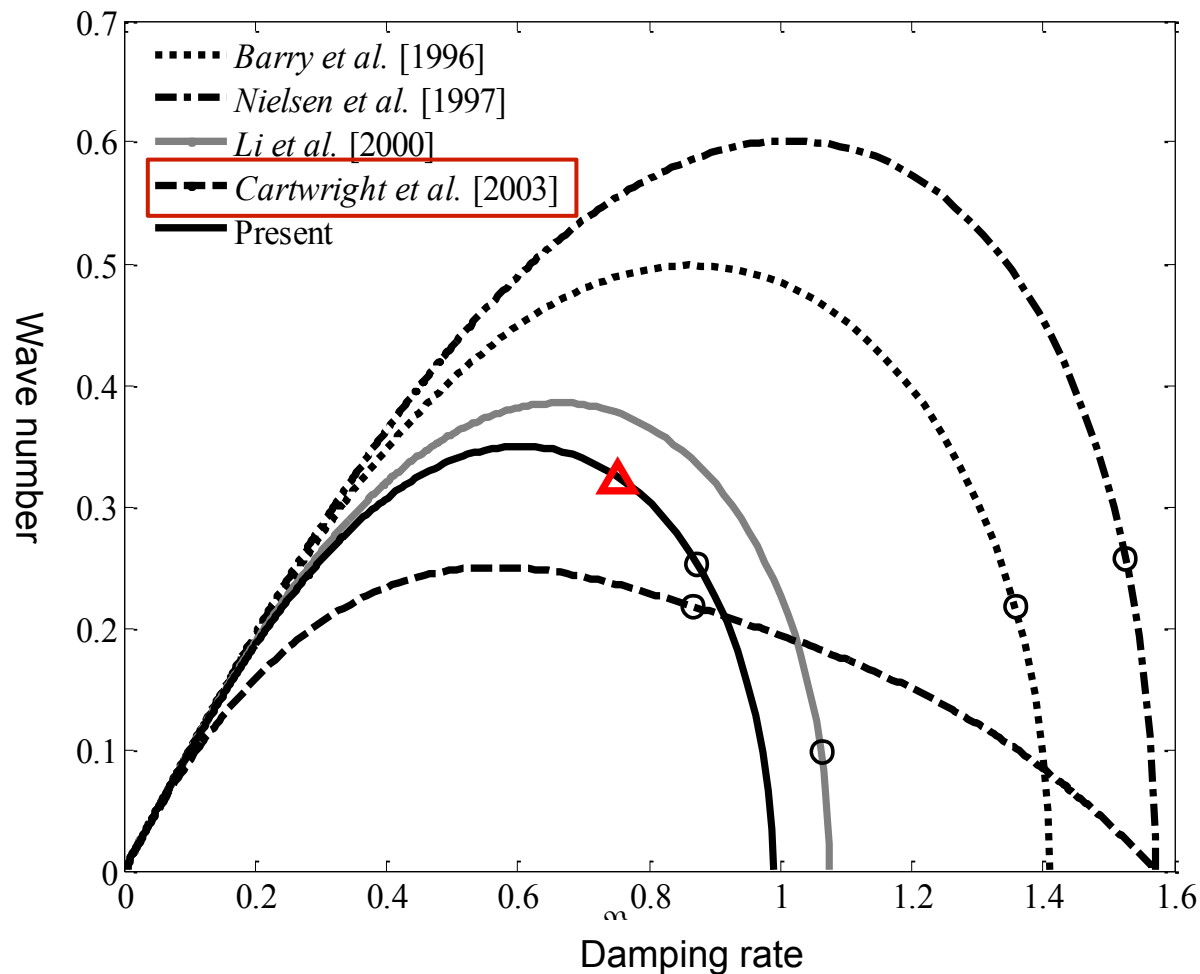
## Validation: comparison with numerical solution

- Damping and phase shift (capping effect)



# Wave characteristics

- Damping and phase shift: comparison with measurement

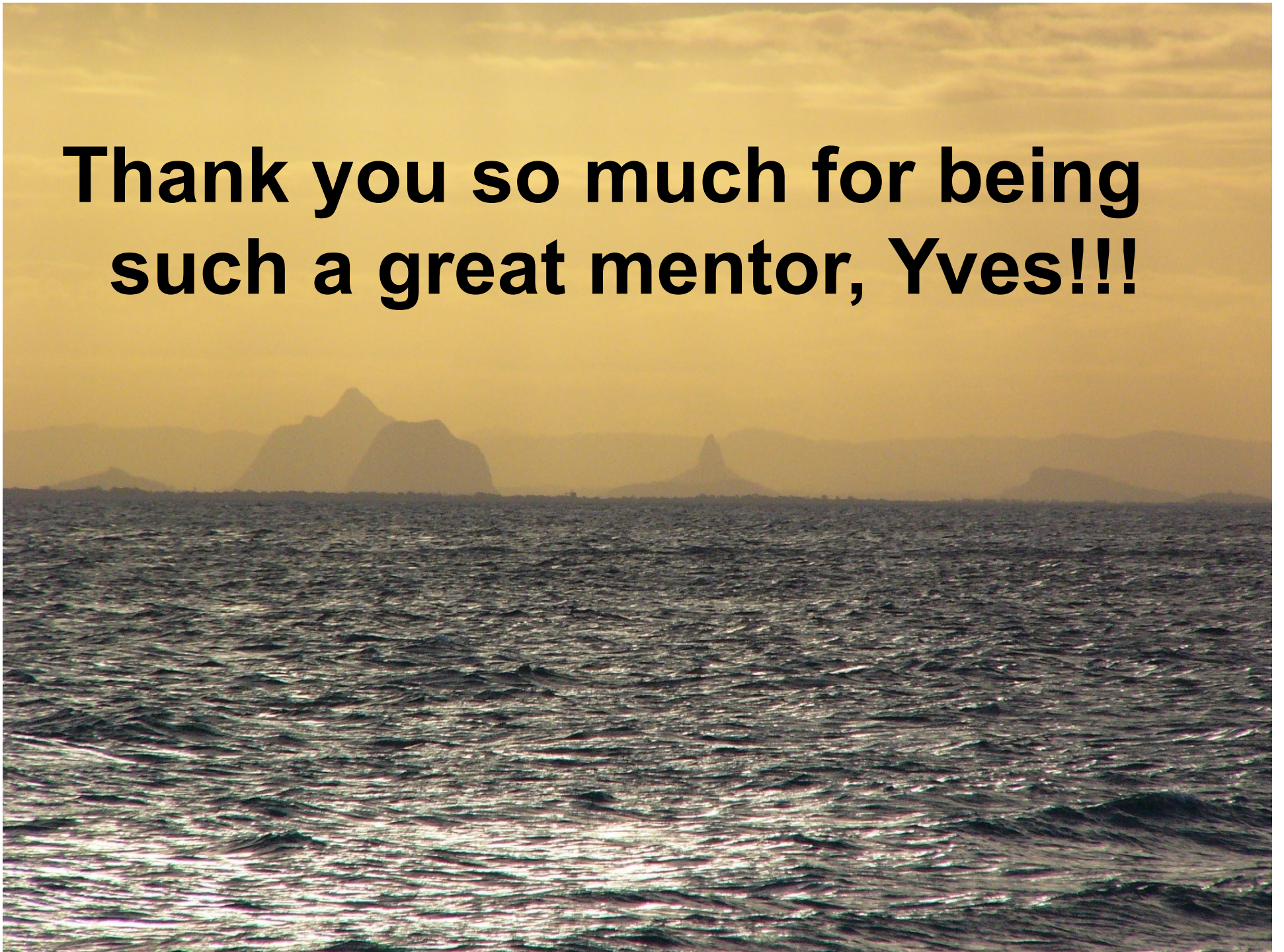


Circle calculated based on the wave dispersion relationships of various models using measured soil properties

Triangle determined based on measured water table fluctuations



**Thank you so much for being  
such a great mentor, Yves!!!**





**Thank you!**  
**Questions?**

