



Survey  
Management Center

ABOUT

ANALYTICS

NEWS

PUBLICATIONS

EVENTS

+ Home

+ Surveys

- ARL Statistics 2008-09:
  - Ithaca/Geneva campus
  - Law Library
  - Medical Library

- Preservation 2008-09:
  - Ithaca/Geneva campus

- Supplementary 2008-09:
  - Ithaca/Geneva campus

Data Repository

ARL Index

+ Management  
Center

- Manage Surveys
  - ARL Statistics 2008-09
  - Preservation 2008-09
  - Supplementary 2008-09
- Manage Users
- Manage Branches

Manage Surveys > ARL Statistics 2008-09: Cornell University Library > Survey Complete

Step 4) Survey Complete

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ARL Statistics Publication

Law Publication

Health Sciences Publication

Footnotes

Question	Response			
	This Year's Data	Last Year's Data	% Changed	NA/UA
Volumes				
1 Volumes held June 30, 2009	8,036,029	0		N/A
1.a Volumes held June 30, 2008	0	8,141,781	-100.0	
1.b Volumes added during year	0	111,015	-100.0	
1.b.i Volumes added during year -- Gross	0	126,058	-100.0	
1.b.ii Volumes withdrawn during the year	0	15,043	-100.0	
2 Titles held June 30, 2009	4,963,660	0		N/A
3 Number of monographic volumes purchased	0	1,909	-100.0	
4 Basis of volume count.	Ithaca/Geneva campus: Physical Law Library: Physical	Ithaca/Geneva campus: Physical		
Serials				
5 Total number of serial titles currently received, including periodicals	102,000	98,000	4.1	
5.a Number of serial titles currently purchased	0	0		N/A
5.a.i Electronic	0	0		N/A
5.a.ii Print (and other format)	0	0		N/A
5.b Number of serials titles currently received but not purchased	0	0		N/A
5.b.i Consortial	0	0		N/A

5.b.ii Freely accessible	0	0	N/A
5.b.iii Print (and other format) - <i>Exchanges, gifts, etc.</i>	0	0	N/A
5.b.iv Government documents	0	0	N/A
6 Government documents are included in count of Current Serials?	Ithaca/Geneva campus: Yes  Law Library: Yes	Ithaca/Geneva campus: Yes	
Other Library Materials			
7 Microform units	8,507,500	8,507,563	0.0
8 Government documents not counted elsewhere	0	0	N/A
9 Computer files	8,682	19,495	-55.5
10 Manuscripts and archives (linear ft.)	66,813	65,673	1.7
Audiovisual Materials			
11 Cartographic	253,400	253,439	0.0
12 Graphic	53,000	53,026	0.0
13 Audio	103,944	121,293	-14.3
14 Film and video	41,079	39,842	3.1
Expenditures			
15 Are expenditure figures reported in Canadian dollars?	Ithaca/Geneva campus: No  Law Library: No	Ithaca/Geneva campus: No	
16 Total library materials	16,161,049	15,843,247	2.0
16.a Monographs	6,059,153	6,761,733	-10.4
16.b Serials, including periodicals	8,472,429	7,934,603	6.8
16.c Other library materials	561,684	857,024	-34.5
16.d Miscellaneous	1,067,783	289,887	268.3
17 Contract Binding	153,512	158,581	-3.2
18 Total salaries and wages	23,594,158	22,666,808	4.1
18.a Professional staff	8,783,165	8,228,884	6.7
18.b Support staff	13,828,122	13,438,277	2.9
18.c Student assistants	982,871	999,647	-1.7
19 Fringe benefits are included in expenditures for salaries and wages	Ithaca/Geneva campus: No  Law Library: No	Ithaca/Geneva campus: No	
20 Other operating expenditures	6,638,001	8,128,897	-18.3
21 Total library expenditures	46,546,720	46,797,533	-0.5

Electronic Expenditures			
22 One-time electronic resource purchases	110,173	131,274	-16.1
23 Ongoing electronic resource purchases (e.g., subscriptions, annual license fees)	7,495,686	6,910,052	8.5
24 Bibliographic utilities, networks, and consortia			
24.a From internal library sources	271,480	250,000	8.6
24.b From external sources	0	0	N/A
25 Computer hardware and software	1,803,780	2,008,155	-10.2
26 Document Delivery / Interlibrary Loan	169,204	123,486	37.0
Personnel			
27 Total Staff, FTE	524	549	-4.6
27.a Professional staff, FTE	118	120	-1.7
27.b Support staff, FTE	286	308	-7.1
27.c Student assistants, FTE	120	121	-0.8
Staffed Service Points and Hours			
28 Number of staffed library service points	43	53	-18.9
29 Number of weekly public service hours	146	146	0.0
Instruction			
30 Number of library presentations to groups	1,835	1,564	17.3
30.a Is the library presentations figure based on sampling?			
Ithaca/Geneva campus: No		Ithaca/Geneva campus: No	
Law Library: No			
31 Number of total participants in group presentations	23,372	22,012	6.2
31.a Is the total participants in group presentations figure based on sampling?			
Ithaca/Geneva campus: No		Ithaca/Geneva campus: No	
Law Library: No			
Reference			
32 Number of reference transactions	70,639	74,830	-5.6
32.a Is the reference transactions figure based on sampling?			
Ithaca/Geneva campus: Yes		Ithaca/Geneva campus: Yes	
Law Library: Yes			
Circulation			
33 Number of initial circulations (excluding reserves)	413,096	433,034	-4.6
34 Total circulations (initial and renewals, excluding reserves)	862,171	865,668	-0.4

Interlibrary Loans			
35 Total number of filled requests provided to other libraries	58,351	56,865	2.6
36 Total number of filled requests received from other libraries or providers	33,826	33,528	0.9
Ph.D. Degrees and Faculty			
37 Number of Ph.D.s awarded	513	476	7.8
38 Number of fields in which Ph.D.s can be awarded	83	83	0.0
39 Number of full-time instructional faculty.	1,728	1,728	0.0
Enrollment -- Fall 2008 (totals)			
40 Full-time students, undergraduate and graduate	20,095	19,763	1.7
41 Part-time students, undergraduate and graduate	89	37	140.5
42 Full-time graduate students	6,347	6,268	1.3
43 Part-time graduate students	80	22	263.6





Survey  
Management Center

ABOUT

ANALYTICS

NEWS

PUBLICATIONS

EVENTS

+ Home

+ Surveys

ARL Statistics 2008-09:  
• Ithaca/Geneva campus  
• Law Library  
• Medical Library

Preservation 2008-09:  
• Ithaca/Geneva campus

Supplementary 2008-09:  
• Ithaca/Geneva campus

Data Repository

ARL Index

+ Management  
Center

Manage Surveys  
ARL Statistics 2008-09  
Preservation 2008-09  
Supplementary 2008-09  
Manage Users  
Manage Branches

Manage Surveys > ARL Statistics 2008-09: Cornell University Library > Survey Complete

Step 4) Survey Complete

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ARL Statistics Publication

Law Publication

Health Sciences Publication

Footnotes

Question	Response			
	This Year's Data	Last Year's Data	% Changed	NA/UA
Volumes				
1 Volumes held June 30, 2009	475,531	0	N/A	
1.a Volumes held June 30, 2008	0	573,900	-100.0	
1.b Volumes added during year	0	8,158	-100.0	
1.b.i Volumes added during year -- Gross	0	8,203	-100.0	
1.b.ii Volumes withdrawn during the year	0	45	-100.0	
2 Titles held June 30, 2009	198,518	0	N/A	
3 Number of monographic volumes purchased	0	1,909	-100.0	
4 Basis of volume count.	Law Library: Physical	Law Library: Physical		
Serials				
5 Total number of serial titles currently received, including periodicals	24,300	25,000	-2.8	
5.a Number of serial titles currently purchased	0	0	N/A	
5.a.i Electronic	0	0	N/A	
5.a.ii Print (and other format)	0	0	N/A	
5.b Number of serials titles currently received but not purchased	0	0	N/A	
5.b.i Consortial	0	0	N/A	
5.b.ii Freely accessible	0	0	N/A	
5.b.iii Print (and other format) - <i>Exchanges, gifts, etc.</i>	0	0	N/A	
5.b.iv Government documents	0	0	N/A	

6 Government documents are included in count of Current Serials?	Law Library: Yes		
	Law Library: Yes		
Other Library Materials			
7 Microform units	1,006,400	1,006,433	0.0
8 Government documents not counted elsewhere	0	0	N/A
9 Computer files	35	41	-14.6
10 Manuscripts and archives (linear ft.)	0	0	N/A
Audiovisual Materials			
11 Cartographic	0	0	N/A
12 Graphic	0	0	N/A
13 Audio	98	360	-72.8
14 Film and video	291	230	26.5
Expenditures			
15 Are expenditure figures reported in Canadian dollars?	Law Library: No		
	Law Library: No		
16 Total library materials	1,405,390	1,432,432	-1.9
16.a Monographs	894,425	928,048	-3.6
16.b Serials, including periodicals	449,494	467,387	-3.8
16.c Other library materials	772	596	29.5
16.d Miscellaneous	60,699	36,401	66.8
17 Contract Binding	19,771	19,726	0.2
18 Total salaries and wages	1,327,300	1,230,878	7.8
18.a Professional staff	805,715	730,160	10.3
18.b Support staff	495,882	463,390	7.0
18.c Student assistants	25,703	37,328	-31.1
19 Fringe benefits are included in expenditures for salaries and wages	Law Library: No		
	Law Library: No		
20 Other operating expenditures	79,070	83,600	-5.4
21 Total library expenditures	2,831,531	2,766,636	2.3
Electronic Expenditures			
22 One-time electronic resource purchases	0	120	-100.0
23 Ongoing electronic resource purchases (e.g., subscriptions, annual license fees)	178,288	90,294	97.5
24 Bibliographic utilities, networks, and consortia			
24.a From internal library sources	0	0	N/A
24.b From external sources	0	0	N/A
25 Computer hardware and software	24,961	21,001	18.9
26 Document Delivery / Interlibrary Loan	2,043	10,582	-80.7
Personnel			
27 Total Staff, FTE	27	27	0.0

27.a Professional staff, FTE	11	10	10.0
27.b Support staff, FTE	12	12	0.0
27.c Student assistants, FTE	4	5	-20.0
Staffed Service Points and Hours			
28 Number of staffed library service points	2	2	0.0
29 Number of weekly public service hours	80	80	0.0
Instruction			
30 Number of library presentations to groups	249	156	59.6
30.a Is the library presentations figure based on sampling?	Law Library: No	Law Library: No	
31 Number of total participants in group presentations	762	1,495	-49.0
31.a Is the total participants in group presentations figure based on sampling?	Law Library: No	Law Library: No	
Reference			
32 Number of reference transactions	8,680	8,058	7.7
32.a Is the reference transactions figure based on sampling?	Law Library: Yes	Law Library: Yes	
Circulation			
33 Number of initial circulations (excluding reserves)	13,101	12,712	3.1
34 Total circulations (initial and renewals, excluding reserves)	17,176	16,095	6.7
Interlibrary Loans			
35 Total number of filled requests provided to other libraries	1,782	1,721	3.5
36 Total number of filled requests received from other libraries or providers	1,328	1,280	3.8
Ph.D. Degrees and Faculty			
37 Number of Ph.D.s awarded	2	1	100.0
38 Number of fields in which Ph.D.s can be awarded	1	1	0.0
39 Number of full-time instructional faculty.	40	38	5.3
Enrollment -- Fall 2008 (totals)			
40 Full-time students, undergraduate and graduate	656	654	0.3
41 Part-time students, undergraduate and graduate	0	0	N/A
42 Full-time graduate students	656	654	0.3
43 Part-time graduate students	0	0	N/A





Survey  
Management Center

ABOUT

ANALYTICS

NEWS

PUBLICATIONS

EVENTS

+ Home

+ Surveys

- ARL Statistics 2008-09:
  - Ithaca/Geneva campus
  - Law Library
  - Medical Library
- Preservation 2008-09:
  - Ithaca/Geneva campus
- Supplementary 2008-09:
  - Ithaca/Geneva campus
- Data Repository
- ARL Index

+ Management  
Center

- Manage Surveys
  - ARL Statistics 2008-09
  - Preservation 2008-09
  - Supplementary 2008-09
- Manage Users
- Manage Branches

Manage Surveys > ARL Statistics 2008-09: Cornell University Library > Survey Complete

Step 4) Survey Complete

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[ARL Statistics Publication](#) [Law Publication](#) [Health Sciences Publication](#) [Footnotes](#)

Question	Response			
	This Year's Data	Last Year's Data	% Changed	NA/UA
Volumes				
1 Volumes held June 30, 2009	201,919	0	N/A	
1.a Volumes held June 30, 2008	200,301	191,764	4.5	
1.b Volumes added during year	1,618	2,342	-30.9	
1.b.i Volumes added during year -- Gross	2,297	2,610	-12.0	
1.b.ii Volumes withdrawn during the year	679	268	153.4	
2 Titles held June 30, 2009	63,144	0	N/A	
3 Number of monographic volumes purchased	0	793	-100.0	
4 Basis of volume count.	Medical Library: Physical	Medical Library: Physical		
Serials				
5 Total number of serial titles currently received, including periodicals	9,500	6,400	48.4	
5.a Number of serial titles currently purchased	0	0	N/A	
5.a.i Electronic	0	0	N/A	
5.a.ii Print (and other format)	0	0	N/A	
5.b Number of serials titles currently received but not purchased	0	0	N/A	
5.b.i Consortial	0	0	N/A	
5.b.ii Freely accessible	0	0	N/A	
5.b.iii Print (and other format) - Exchanges, gifts, etc.	0	0	N/A	



5.b.iv Government documents	0	0	N/A
6 Government documents are included in count of Current Serials?	Medical Library: No		
		Medical Library: No	
Other Library Materials			
7 Microform units	12,900	12,900	0.0
8 Government documents not counted elsewhere	0	0	N/A
9 Computer files	612	612	0.0
10 Manuscripts and archives (linear ft.)	0	0	N/A
Audiovisual Materials			
11 Cartographic	0	0	N/A
12 Graphic	900	928	-3.0
13 Audio	29	29	0.0
14 Film and video	954	920	3.7
Expenditures			
15 Are expenditure figures reported in Canadian dollars?	Medical Library: No		
		Medical Library: No	
16 Total library materials	1,486,428	1,723,571	-13.8
16.a Monographs	145,483	133,109	9.3
16.b Serials, including periodicals	1,334,647	1,585,826	-15.8
16.c Other library materials	1,413	0	N/A
16.d Miscellaneous	4,885	4,636	5.4
17 Contract Binding	16,900	19,944	-15.3
18 Total salaries and wages	1,870,388	1,800,317	3.9
18.a Professional staff	600,702	570,141	5.4
18.b Support staff	1,269,686	1,230,176	3.2
18.c Student assistants	0	0	N/A
19 Fringe benefits are included in expenditures for salaries and wages	Medical Library: No		
		Medical Library: No	
20 Other operating expenditures	189,661	209,616	-9.5
21 Total library expenditures	3,563,377	3,753,448	-5.1
Electronic Expenditures			
22 One-time electronic resource purchases	138,229	87,400	58.2
23 Ongoing electronic resource purchases (e.g., subscriptions, annual license fees)	945,642	821,036	15.2
24 Bibliographic utilities, networks, and consortia			
24.a From internal library sources	17,266	16,755	3.0
24.b From external sources	0	0	N/A
25 Computer hardware and software	140,018	153,808	-9.0
26 Document Delivery / Interlibrary Loan	7,098	7,172	-1.0
Personnel			

27 Total Staff, FTE	31	31	0.0
27.a Professional staff, FTE	7	6	16.7
27.b Support staff, FTE	24	25	-4.0
27.c Student assistants, FTE	0	0	N/A
Staffed Service Points and Hours			
28 Number of staffed library service points	2	2	0.0
29 Number of weekly public service hours	108	108	0.0
Instruction			
30 Number of library presentations to groups	203	177	14.7
30.a Is the library presentations figure based on sampling?	Medical Library: No	Medical Library: No	
31 Number of total participants in group presentations	1,206	1,193	1.1
31.a Is the total participants in group presentations figure based on sampling?	Medical Library: No	Medical Library: No	
Reference			
32 Number of reference transactions	7,323	3,261	124.6
32.a Is the reference transactions figure based on sampling?	Medical Library: Yes	Medical Library: Yes	
Circulation			
33 Number of initial circulations (excluding reserves)	15,227	23,725	-35.8
34 Total circulations (initial and renewals, excluding reserves)	19,429	27,622	-29.7
Interlibrary Loans			
35 Total number of filled requests provided to other libraries	2,559	3,199	-20.0
36 Total number of filled requests received from other libraries or providers	3,843	4,150	-7.4
Ph.D. Degrees and Faculty			
37 Number of Ph.D.s awarded	71	38	86.8
38 Number of fields in which Ph.D.s can be awarded	7	7	0.0
39 Number of full-time instructional faculty.	1,159	1,004	15.4
Enrollment -- Fall 2008 (totals)			
40 Full-time students, undergraduate and graduate	865	881	-1.8
41 Part-time students, undergraduate and graduate	0	0	N/A
42 Full-time graduate students	865	881	-1.8
43 Part-time graduate students	0	0	N/A





Survey  
Management Center

ABOUT

ANALYTICS

NEWS

PUBLICATIONS

EVENTS

+ Home

+ Surveys

- ARL Statistics 2008-09:
  - Ithaca/Geneva campus
  - Law Library
  - Medical Library

- Preservation 2008-09:
  - Ithaca/Geneva campus

- Supplementary 2008-09:
  - Ithaca/Geneva campus

Data Repository

ARL Index

+ Management  
Center

- Manage Surveys
  - ARL Statistics 2008-09
  - Preservation 2008-09
  - Supplementary 2008-09
- Manage Users
- Manage Branches

Manage Surveys > ARL Statistics 2008-09: Cornell University Library > Survey Complete

Step 4) Survey Complete

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[ARL Statistics Publication](#) [Law Publication](#) [Health Sciences Publication](#) [Footnotes](#)

ARL Statistics 2008-09: Cornell University Library

Footnotes Summary

Ithaca/Geneva campus

General Footnotes

1) Report figures for fiscal year ending: (Please use MM/DD/YYYY format.)

Footnote: 06/30/2009

2) Specify branch libraries included in the ARL Statistics:

Footnote: Includes the Adelson, Africana, Annex, Asia Collections, Engineering, Fine Arts, Hotel, Industrial & Labor Relations, Kroch (Rare & Mss. Collections), Law, Management, Mann/Entomology, Mathematics, Music, Olin, Physical Sciences, Uris, and Veterinary Libraries on the Ithaca campus, and the New York Agricultural Experiment Station Library in Geneva NY.

3) Specify branch libraries **not** included in the ARL Statistics:

Footnote: Excludes the Medical College Library in New York, NY.

Question Footnotes

1) Volumes held June 30, 2009

Footnote: This year, CUL switched from a manual to an online physical volume count. This new count is not directly comparable to previous years' counts as it excludes any volumes that have not been barcoded, or that accompany non-volume format items, but includes locally held volumes stored digitally. The count also includes some e-book growth, and the addition of a large number of catalog records for e-books already owned/subscribed. E-book count includes some duplication between e-book packages yet to be determined.

1.b) Volumes added during year

Footnote: The volumes added new count is 130,331. It may be high due to the decision to switch to online counts during the fiscal year. It is likely that not all barcoding done retrospectively was removed. Includes a few Law e-books cataloged manually.

2) Titles held June 30, 2009

Footnote: The e-book count included has not been de-duplicated with the print count. The e-book count also includes some duplication between e-book packages yet to be determined. We are currently looking into how de-duplication might be accomplished.

5) Total number of serial titles currently received, including periodicals

Footnote: Estimate only. 27.6% of the titles were estimated to be print. 9,000 of the e-titles were estimated to be non-current. This count includes two Law aggregators that do not have individual records in the online catalog as they are only available to Law users. De-duping was done through estimates, some of which were based on sampling. Following ABA definitions, the Law print count includes non-serial continuations. The decrease in the print count is due to cancellations to favor e over print, and a more precise counting methodology. The increase in the e-title count is due to both the availability of new titles, and, mostly, to an increase in the availability of online records for previously purchased or licensed titles.
7) Microform units
Footnote: Starting with 2008/2009, microform counts will no longer be kept up to date; reporting will be at the 2007/2008 level. The change reflects rounding only.
9) Computer files
Footnote: Decrease reflects a change from a manual to an online count that excludes any computer files that accompany other formats, and/or that are not barcoded.
10) Manuscripts and archives (linear ft.)
Footnote: Figure is in cubic feet.
11) Cartographic
Footnote: Starting with 2008/2009, map counts will no longer be kept up to date; reporting will be at the 2007/2008 level. The change reflects rounding only.
12) Graphic
Footnote: Starting with 2008/2009, graphics counts will no longer be kept up to date; reporting will be at the 2007/2008 level. The change reflects rounding only.
13) Audio
Footnote: Decrease reflects a change from a manual to an online count. Excludes any sound recordings that are not barcoded, and/or that accompany other formats.
14) Film and video
Footnote: Starting with 2008/2009, motion picture counts will no longer be kept up to date; reporting will be at the 2007/2008 level. Video and DVD counts are now collected online, not manually.
16.d) Miscellaneous
Footnote: This year, this figure includes expenditures for integrating resources as well as shipping costs not included in 16a-c. Previously, expenditures for items coded as integrating resources were reported in 16a. Per cataloging rules, more and more items will be cataloged or recataloged (from other formats including monographs and serials) as integrating resources. These expenditures currently include those for such items as reference databases, resource aggregators (including those with a mix of formats), etc.
18.a) Professional staff
Footnote: Non-Law: Includes expenditures for librarians and archivists only. Law: Includes expenditures for librarians and exempt staff.
21) Total library expenditures
Footnote: Expenditures include some in-year returns on reserves mandated due to the recession.
23) Ongoing electronic resource purchases (e.g., subscriptions, annual license fees)
Footnote: Includes an estimate for the amount spent on electronic subscriptions for large publishers providing both print and electronic whose payment records are coded as print only.
26) Document Delivery / Interlibrary Loan
Footnote: In FY08/09, payment was made for FY07/08 and 08/09 for ILLiad.
27) Total Staff, FTE
Footnote: Does not include staff in positions that were temporarily vacant. Includes professional, support and student staff on grants and projects.
27.a) Professional staff, FTE
Footnote: Ithaca/Geneva: Includes librarians and archivists only. Law: Includes librarians and exempt staff.
28) Number of staffed library service points
Footnote: Decrease reflects the discontinuation of 3 service points, as well as some corrections to reporting practices; we are now

counting combined service points by designated areas and not by function (down 4), and are now viewing chat service as a mode of contacting the reference desks and not as separate service points (down 3).
29) Number of weekly public service hours
Footnote: Part of one library remains open between 2 and 8 a.m., Sunday-Thursday, staffed by library-paid security staff (which adds 27.5 hours to this figure). Study space and computer lab available.
30) Number of library presentations to groups
Footnote: Includes some instruction sessions for individuals, and some duplication for co-taught sessions.
32) Number of reference transactions
Footnote: Last year's counts should have been 71,882. Most units' counts are extrapolated from 12 random sampling weeks.
33) Number of initial circulations (excluding reserves)
Footnote: Includes ILL lending and some staff transactions.
36) Total number of filled requests received from other libraries or providers
Footnote: Borrow Direct counts are volume counts; traditional ILL counts are title counts. Traditional ILL counts include some of the requests submitted by CUL patrons for items that are available at CUL.
41) Part-time students, undergraduate and graduate
Footnote: 41 and 43: Employee degree program only.

Law Library

General Footnotes

1) Report figures for fiscal year ending: (Please use MM/DD/YYYY format.)
Footnote: 06/30/2009

Question Footnotes

1) Volumes held June 30, 2009
Footnote: This year, CUL switched from a manual to an online physical volume count. This new count is not directly comparable to previous years' counts as it excludes any volumes that have not been barcoded, or that accompany non-volume format items. The count also includes some e-book growth. E-book count includes some duplication between e-book packages yet to be determined.
1.b.i) Volumes added during year -- Gross
Footnote: The new volumes added count is 8,251. Includes a small number of manually cataloged e-books.
2) Titles held June 30, 2009
Footnote: The e-book count included has not been de-duplicated with the print count. The e-book count also includes some duplication between e-book packages yet to be determined. We are currently looking into how de-duplication might be accomplished.
5) Total number of serial titles currently received, including periodicals
Footnote: Estimate only. 15.5% of the titles were estimated to be print. 1,800 of the e-titles were estimated to be non-current. This count includes two aggregators that do not have individual records in the online catalog as they are only available to Law users. De-duping done through estimates, some of which were based on sampling. Following ABA definitions, the print count includes non-serial continuations. The decrease is due to cancellations to favor e over print, and a more precise counting methodology for non-e title counts.
7) Microform units
Footnote: Starting with 2008/2009, microform counts will no longer be kept up to date; reporting will be at the 2007/2008 level. The change reflects rounding only.
9) Computer files
Footnote: Decrease reflects a change from a manual to an online count that excludes any computer files that accompany other formats, and/or that are not barcoded.
13) Audio
Footnote: Decrease reflects a change from a manual to an online count that excludes any sound recordings that are not barcoded, and/or that accompany other formats.
14) Film and video
Footnote: Starting with 2008/2009, motion picture counts will no longer be kept up to date; reporting will be at the 2007/2008 level.

Video and DVD counts are now collected online vs. manually. The increase reflects in part the new online counting methodology.
16.d) Miscellaneous Footnote: This year, this figure includes expenditures for integrating resources as well as shipping costs not included in 16a-c. Previously, expenditures for items coded as integrating resources were reported in 16a. Per cataloging rules, more and more items will be cataloged or recataloged (from other formats including monographs and serials) as integrating resources. These expenditures will be for a mix of types of resources.
18.a) Professional staff Footnote: Includes expenditures for librarians and exempt staff.
27.a) Professional staff, FTE Footnote: Includes librarians and exempt staff.
30) Number of library presentations to groups Footnote: Includes some instruction sessions for individuals.
32.a) Is the reference transactions figure based on sampling? Footnote: Extrapolated from 12 random sampling weeks.
33) Number of initial circulations (excluding reserves) Footnote: Includes ILL lending and some staff transactions.
36) Total number of filled requests received from other libraries or providers Footnote: Borrow Direct counts are volume counts; traditional ILL counts are title counts. Traditional ILL counts include some of the requests submitted by CUL patrons for items that are available at CUL.
Medical Library
General Footnotes 1) Report figures for fiscal year ending: (Please use MM/DD/YYYY format.) Footnote: 06/30/2009
Question Footnotes 1.a) Volumes held June 30, 2008 Footnote: This year's increase reflects additional available volumes, and the fact that the 2007/2008 e-book count was significantly underreported. The e-book count may include a small amount of duplication between e-book packages yet to be determined.
1.b.i) Volumes added during year -- Gross Footnote: Includes some manually cataloged e-books.
2) Titles held June 30, 2009 Footnote: The count may include a small amount of duplication between e-book packages yet to be determined.
5) Total number of serial titles currently received, including periodicals Footnote: Estimate only. 2.5% of the titles in this count were estimated to be print. 1,430 of the e-titles were estimated to be non-current. Some de-duping done through estimates. Decrease in print title count due to cancellations to favor e over print. Increase in e-title count reflects additional available titles (including the selective addition of records for open access titles), and a more precise counting methodology.
7) Microform units Footnote: Starting with 2008/2009, counts for microforms will no longer be kept up to date; reporting will be at the 2007/2008 level.
12) Graphic Footnote: Starting with 2008/2009, graphics counts will no longer be kept up to date; reporting will be at the 2007/2008 level. The change reflects rounding only.
14) Film and video Footnote: Starting with 2008/2009, motion picture counts will no longer be kept up to date; reporting will be at the 2007/2008 level. Video and DVD counts are now collected online vs. manually.
16.d) Miscellaneous Footnote: Shipping costs only.
30) Number of library presentations to groups

Footnote: Includes some instruction sessions for individuals.

32) Number of reference transactions

Footnote: Extrapolated from 12 random sampling weeks. In large part, increase reflects a greater effort to record all transactions.

33) Number of initial circulations (excluding reserves)

Footnote: In large part, the decrease reflects a counting error made in 2007/2008.

37) Number of Ph.D.s awarded

Footnote: Includes 13 double major MDs and PhDs. The total for PhDs only is 58.



## ARL STATISTICS 2008-09 WORKSHEET

This worksheet is designed to help you plan your submission for the 2008-09 *ARL Statistics*. The figures on this worksheet should be similar to those in the "Summary" page of your web form, except in cases where data are unavailable. If an exact figure is unavailable, use "NA/UA". If the appropriate answer is zero or none, use "0."

Reporting Institution \_\_\_\_\_ Date Returned to ARL \_\_\_\_\_

Report Prepared by (name) \_\_\_\_\_

Title \_\_\_\_\_

Email address \_\_\_\_\_ Phone number \_\_\_\_\_

Contact person (if different) \_\_\_\_\_

Title \_\_\_\_\_

Email address \_\_\_\_\_ Phone number \_\_\_\_\_

### **PAGE ONE – VOLUMES AND TITLES:**

**1. Volumes held June 30, 2009** (1.a + 1.b) (1) \_\_\_\_\_

1a. Volumes held June 30, 2008 (1.a) \_\_\_\_\_

1b. Volumes added during the year (1.b.i – 1.b.ii) (1.b) \_\_\_\_\_

(i) Volumes added – Gross (1.b.i) \_\_\_\_\_

(ii) Volumes withdrawn during year (1.b.ii) \_\_\_\_\_

**2. Titles held June 30, 2009** (2) \_\_\_\_\_

**3. Number of monographic volumes purchased** (3) \_\_\_\_\_

**4. Basis of volume count is:** (4) \_\_\_\_\_ Physical  
\_\_\_\_\_ Bibliographic



**PAGE TWO – OTHER COLLECTIONS**

**SERIALS**

**5. Total number of serial titles currently received, including periodicals (5.a + 5.b)** (5) \_\_\_\_\_

5a. Number of serial titles currently purchased (5a.i + 5a.ii) (5a) \_\_\_\_\_

5a.i Electronic (5a.i) \_\_\_\_\_

5a.ii Print (and other format) serials purchased (5a.ii) \_\_\_\_\_

5b. Number of serial titles currently received but not purchased  
(5b.i + 5b.ii + 5b.iii + 5b.iv) (5b) \_\_\_\_\_

5b.i Consortial (5b.i) \_\_\_\_\_

5b.ii Freely accessible (5b.ii) \_\_\_\_\_

5b.iii Print (and other format) – *Exchanges, gifts, etc.* (5b.iii) \_\_\_\_\_

5b.iv Government documents (5b.iv) \_\_\_\_\_

**6. Government documents are included in count of Current Serials?** (6) \_\_\_\_\_ Yes \_\_\_\_\_ No

**OTHER LIBRARY MATERIALS**

**7. Microform units** (7) \_\_\_\_\_

**8. Government documents not counted elsewhere** (8) \_\_\_\_\_

**9. Computer files** (9) \_\_\_\_\_

**10. Manuscripts and archives (linear ft.)** (10) \_\_\_\_\_

**AUDIOVISUAL MATERIALS**

**11. Cartographic** (11) \_\_\_\_\_

**12. Graphic** (12) \_\_\_\_\_

**13. Audio** (13) \_\_\_\_\_

**14. Film and Video** (14) \_\_\_\_\_

**PAGE THREE – EXPENDITURES**

**15. Are the below figures reported in Canadian dollars?** (15) ☐ Yes  
☐ No

**16. Total Library Materials Expenditures** (*16.a + 16.b + 16.c + 16.d*) (16) \_\_\_\_\_

16a. Monographs (16a) \_\_\_\_\_

16b. Serial titles, including periodicals (16b) \_\_\_\_\_

16c. Other Library Materials (16c) \_\_\_\_\_

16d. Miscellaneous (16d) \_\_\_\_\_

**17. Contract binding** (17) \_\_\_\_\_

**18. Total Salaries and Wages** (*18.a + 18.b + 18.c*) (18) \_\_\_\_\_

18a. Professional staff (18a) \_\_\_\_\_

18b. Support staff (18b) \_\_\_\_\_

18c. Student assistants (18c) \_\_\_\_\_

**19. Fringe benefits are included in expenditures for salaries and wages?** (19) ☐ Yes  
☐ No

**20. Other operating expenditures** (20) \_\_\_\_\_

**21. Total library expenditures** (*16 + 17 + 18 + 20*) (21) \_\_\_\_\_

**ELECTRONIC MATERIALS EXPENDITURES**

**22. One-time electronic resource purchases** (22) \_\_\_\_\_

**23. Ongoing electronic resource purchases (e.g., subscriptions, annual license fees)**  
(23) \_\_\_\_\_

**24. Bibliographic Utilities, Networks, and Consortia**

24a. From internal library sources (24a) \_\_\_\_\_

24b. From external sources (24b) \_\_\_\_\_

**25. Computer hardware and software** (25) \_\_\_\_\_

**26. Document Delivery/Interlibrary Loan** (26) \_\_\_\_\_

**PAGE FOUR – PERSONNEL AND PUBLIC SERVICES**

**PERSONNEL** (Round figures to nearest whole number.)

**27. Total Staff FTE** (27.a + 27.b + 27.c) (27) \_\_\_\_\_

27a. Professional staff, FTE (27a) \_\_\_\_\_

27b. Support staff, FTE (27b) \_\_\_\_\_

27c. Student assistants, FTE (27c) \_\_\_\_\_

**STAFFED SERVICE POINTS AND HOURS**

**28. Number of staffed library service points** (28) \_\_\_\_\_

**29. Number of weekly public service hours** (29) \_\_\_\_\_

**INSTRUCTION**

**30. Number of library presentations to groups** (30) \_\_\_\_\_

30a. Is the library presentations figure based on sampling? (30a) \_\_\_\_\_ Yes \_\_\_\_\_ No

**31. Number of total participants in group presentations reported in line 30** (31) \_\_\_\_\_

31a. Is the total participants in group presentations figure based on sampling? (31a) \_\_\_\_\_ Yes \_\_\_\_\_ No

**REFERENCE**

**32. Number of reference transactions** (32) \_\_\_\_\_

32a. Is the reference transactions figure based on sampling? (32a) \_\_\_\_\_ Yes \_\_\_\_\_ No

**PAGE FIVE – PUBLIC SERVICES AND LOCAL CHARACTERISTICS**

**CIRCULATION**

**33. Number of initial circulations** (excluding reserves) (33) \_\_\_\_\_

**34. Total circulations** (initial and renewals, excluding reserves) (34) \_\_\_\_\_

**INTERLIBRARY LOANS**

**35. Total number of filled requests provided to other libraries** (35) \_\_\_\_\_

**36. Total number of filled requests received from other libraries or providers** (36) \_\_\_\_\_

**PhD DEGREES AND FACULTY**

**37. Number of PhDs awarded in FY2008-09** (37) \_\_\_\_\_

**38. Number of fields in which PhDs can be awarded** (38) \_\_\_\_\_

**39. Number of full-time instructional faculty in FY2008-09** (39) \_\_\_\_\_

**ENROLLMENT – FALL 2008**

*(Line numbers refer to IPEDS survey form.)*

**40. Full-time students, undergraduate and graduate** (40) \_\_\_\_\_  
*(Add line 8, columns 15 & 16, and line 14, columns 15 & 16.)*

**41. Part-time students, undergraduate and graduate** (41) \_\_\_\_\_  
*(Add line 22, columns 15 & 16, and line 28, columns 15 & 16.)*

**42. Full-time graduate students** *(Line 14, columns 15 & 16.)* (42) \_\_\_\_\_

**43. Part-time graduate students** *(Line 28, columns 15 & 16.)* (43) \_\_\_\_\_

**FOOTNOTES**

On the web form, you will be able to add footnotes to individual questions, as well as footnotes that apply to your entire institution. Please provide any information which would clarify the figures submitted, e.g., the inclusion of branch campus libraries or any special projects which might cause radical increases or decreases. Please use the footnotes in the *ARL Statistics 2007-08* for comparison if necessary. Please consult the Data Repository under [www.arlstatistics.org](http://www.arlstatistics.org) for a copy of last year's footnotes. These can be found under "Data Repository" after you login to [www.arlstatistics.org](http://www.arlstatistics.org). Please make an effort to word your footnotes in a manner consistent with notes appearing in the published report, so that the ARL Office can interpret your footnotes correctly. **Please use a concise sentence/paragraph format when writing footnotes—do not use bullets or make a bullet list.**

**NOTE:** Any change over 10% in any answer to any of the survey's questions over the preceding year's response (2007-08) should be addressed with a footnote.

Submit the completed questionnaire by **October 15, 2009.**

For assistance, please e-mail Martha Kyrillidou ([martha@arl.org](mailto:martha@arl.org)) or Les Bland ([les@arl.org](mailto:les@arl.org))  
Tel. (202) 296-2296.

# ARL STATISTICS QUESTIONNAIRE, 2008-09

## INSTRUCTIONS FOR COMPLETING THE QUESTIONNAIRE

### General Instructions

Definitions of statistical categories can be found in NISO Z39.7-2004, *Information Services and Use: Metrics & statistics for libraries and information providers--Data Dictionary* (<http://www.niso.org/>). ARL has been modifying the interpretation of the standard definitions to address questions posed by library staff at various member institutions that complete the survey and with feedback from the ARL Statistics and Assessment Committee (<http://www.arl.org/stats/aboutstats/index.shtml>).

**Please do not use decimals.** All figures should be rounded to the nearest whole number.

**Please respond to every question.** If an exact figure cannot be provided, use NA/UA to indicate that the figure is either unavailable or not applicable. If the appropriate answer is zero or none, use **0**.

Although the form allows for data to be entered from both main and branch campuses, an effort should be made to report figures for the main campus only. (The U.S. National Center for Education Statistics, Integrated Postsecondary Education Data System (IPEDS) defines a **branch institution** as “a campus or site of an educational institution that is not temporary, is located in a community beyond a reasonable commuting distance from its parent institution, and offers organized programs of study, not just courses”). If figures for libraries located at branch campuses are reported, please specify which branch libraries are included and which ones are excluded in the notes below.

A **branch library** is defined as an auxiliary library service outlet with quarters separate from the central library of an institution, which has a basic collection of books and other materials, a regular staffing level, and an established schedule. A branch library is administered either by the central library or (as in the case of some law and medical libraries) through the administrative structure of other units within the university. Departmental study/reading rooms are not included.

The questionnaire assumes a fiscal year ending **June 30, 2009**. If your fiscal year is different, please indicate this in the notes below by adjusting the reporting period.

**Footnotes.** Explanatory footnotes will be included with the published statistics. Provide any notes you may have in the footnotes area at the end of the survey. Reporting libraries are urged to record there any information that would clarify the figures submitted in that line, e.g., the inclusion and exclusion of branch campus libraries. Please make an effort to word your footnotes in a manner consistent with notes appearing in the published report, so that the ARL Office can interpret your footnotes correctly. **Please use a concise sentence/paragraph format when writing footnotes—do not use “bullets” or make a “bullet list.”**

### Specific Instructions

#### **Questions 1-1b. Volumes:**

**Question 1. Volumes in Library.** Use the ANSI/NISO Z39.7-2004 definition for **volume** as follows:

*a single physical unit of any printed, typewritten, handwritten, mimeographed, or processed work, distinguished from other units by a separate binding, encasement, portfolio, or other clear distinction, which has been **cataloged, classified, and made ready for use**, and which is typically the unit used to charge circulation transactions. Either a serial volume is bound, or it comprises the serial issues that would be bound together if the library bound all serials.*

Include duplicates and bound volumes of periodicals. For purposes of this questionnaire, unclassified bound serials arranged in alphabetical order are considered classified. Exclude microforms, maps, nonprint materials, and uncataloged items. If any of these items cannot be excluded, please provide an explanatory footnote

Include government document volumes that are accessible through the library's catalogs regardless of whether they are separately shelved. "Classified" includes documents arranged by Superintendent of Documents, CODOC, or similar numbers. "Cataloged" includes documents for which records are provided by the library or downloaded from other sources into the library's card or online catalogs. Documents should, to the extent possible, be counted as they would if they were in bound volumes (e.g., 12 issues of an annual serial would be one or two volumes). Title and piece counts should not be considered the same as volume counts. If a volume count has not been kept, it may be estimated through sampling a representative group of title records and determining the corresponding number of volumes, then extrapolating to the rest of the collection. As an alternative, an estimate may be made using the following formulae:

*52 documents pieces per foot*  
*10 "traditional" volumes per foot*  
*5.2 documents pieces per volume*

Include e-book units, as long as these e-books are owned or leased and have been cataloged by your library. Include electronic books purchased through vendors such as NetLibrary® or Books 24x7, and e-books that come as part of aggregate services. Include individual titles of e-book sets that are treated as individual reference sources. Include locally digitized electronic books and electronic theses and dissertations. Provide a footnote explaining how many e-books you are reporting, preferably by specifying the products and the number of titles in a note.

Include volumes purchased collectively where the cost is shared at the time of purchase.

If either formulas or sampling are used for deriving your count, please indicate in a footnote.

**Question 1b. Volumes Added.** Include only volumes cataloged, classified, and made ready for use. Include government documents if they have been included in the count of volumes on line 1a. Do not include as part of Volumes Added Gross any government documents or other collections (such as large gift collections or e-book packages, EBBO, etc.) that were added to the collection as the result of a one time download or addition to the OPAC. Include these items in Volumes Held of the previous year (Line 1a) and provide a footnote explaining the revision of Line 1a.

**Question 2. Titles Held.** Use the ANSI/NISO Z39.7-2004 definition for **title** as follows:

The designation of a separate bibliographic whole, whether issued in one or several volumes .... Titles are defined according to the Anglo-American Cataloging Rules. A book or serial title may be distinguished from other such titles by its unique International Standard Book Number (ISBN) or International Standard Serial Number (ISSN). This definition applies equally to print, audiovisual, and other library materials. For unpublished works, the term is used to designate a manuscript collection or an archival record series. Two subscriptions to Science magazine, for example, are counted as one title. When vertical file materials are counted, a file folder is considered a title.

Report the total number of **unique** titles cataloged, classified and made ready for use. The number of titles reported here is for the number of volumes reported under line (1). Include e-books as specified above in question (1). For those reporting a bibliographic volume under line (1), their title count may be exactly the same as their volume count.

**Question 3. Monographic Volumes Purchased.** Report number of volumes purchased; do not include volumes received or cataloged. Include all volumes for which an expenditure was made during 2008-09, including volumes paid for in advance but not received during the fiscal year. Include monographs in series and continuations. Include e-books that fit the NetLibrary® model, i.e., electronic manifestations of physical entities and/or units; provide a footnote explaining how many e-books you are reporting, preferably by specifying the products and the number of titles. If only number of titles purchased can be reported, please report the data and provide an explanatory footnote.

**Question 4: Basis of Volume Count.** A physical count is a piece count; a bibliographic count is a catalog record count.

**Questions 5. Serials.** Use the following definition adapted from AACR2 for a serial:

*A bibliographic resource issued in a succession of discrete parts, usually bearing numbering, that has no predetermined conclusion. Examples of serials include*

*journals, magazines, electronic journals, continuing directories, annual reports, newspapers, and monographic series.*

Report the total number of **unique** serial titles, NOT SUBSCRIPTIONS, that you currently acquire and to which you provide access. Do not include duplicate counts of serial titles. Report each title once, regardless of how many subscriptions or means of access you provide for that title. Exclude unnumbered monographic and publishers' series. Electronic serials acquired as part of a bundle or an aggregated package should be counted at the title level, even if they are not cataloged, as long as the title is made accessible directly by the library (e.g., through a finding aid). If access is provided only through the overall platform or aggregator, do not report the individual titles but count the package as a single title.

**Question 5a. Serial titles currently purchased.** In the case of consortial agreements, count under 'serial titles currently purchased' those titles for which the library pays any amount from its budgeted expenditures. Include all titles that are part of bundles or aggregated packages, even if your library makes a partial payment for access to those titles. If a purchased title includes electronic access to the title, count that title **ONLY ONCE (DEDUPED)** as electronic only. If a database includes full-text and abstracted titles, the number of full-text titles can be counted.

**Question 5b. Serial titles: Not Purchased.** Report other titles that your library receives and does not pay for directly under 'serial titles received but not purchased.' These titles may include exchanges, gifts, etc.

If serial titles have been purchased through a consortium whose budget is centrally funded and independent from the library's budget, these serials should be reported under 'serial titles currently received but not purchased.' If within a purchased or aggregated package it cannot be determined that some titles are not purchased, report all titles as purchased.

Freely accessible titles are those your library provides direct access to via cataloging records or through online serial lists of other finding aids.

To the extent possible, report all government document serials separately in (5b.iv).

If separate counts of non-purchased and purchased serial titles are not available, report only the total number of serial titles currently purchased and received on line (5), and report NA/UA for lines (5a) and (5b).

**Question 7. Microforms.** Report the total number of physical units: reels of microfilm, microcards, and microprint and microfiche sheets. Include all government documents in microform; provide a footnote if documents are excluded.

**Question 8. Government documents.** Report the total number of physical units (pieces) of government documents in paper format that have not been counted elsewhere. Include local, state, national, and international documents; include documents purchased from a commercial source if shelved with separate documents collections and not counted above. Include serials and monographs. To estimate pieces from a measurement of linear feet, use the formula *1 foot = 52 pieces* and indicate in a footnote that the count is based on this estimate. Exclude microforms and non-print formats such as maps or CD-ROMs. Adjust line (1a), i.e., last year's Volumes Held, and provide a footnote if you are adding records to the OPAC for government documents previously held but not counted as part of Volumes Held line (1a).

**Question 9. Computer files.** Include the number of pieces of computer-readable disks, tapes, CD-ROMs, and similar machine-readable files comprising data or programs that are locally held as part of the library's collections available to library clients. Examples are U.S. Census data tapes, sample research software, locally-mounted databases, and reference tools on CD-ROM, tape or disk. Exclude bibliographic records used to manage the collection (i.e., the library's own catalog in machine-readable form), library system software, and microcomputer software used only by the library staff.

**Question 10. Manuscripts and archives.** Include both manuscripts and archives measured in linear feet.

**Question 11. Cartographic materials.** Include the numbers of pieces of two- and three-dimensional maps and globes. Include satellite and aerial photographs and images.

**Question 12. Graphic materials.** Include the number of pieces of prints, pictures, photographs, postcards, slides, transparencies, film strips, and the like.

**Question 13. Audio materials.** Include the number of pieces of audiocassettes, phonographic discs, audio compact discs, reel-to-reel tapes, and other sound recordings.

**Question 14. Film and video materials.** Include the number of pieces of motion pictures, videocassettes, video laser discs, and similar visual materials.

**Questions 15-21. Expenditures.** Report all expenditures of funds that come to the library from the regular institutional budget, and from sources such as research grants, special projects, gifts and endowments, and fees for service. (For question (18), include non-library funds; see instruction for question (18). Do not report encumbrances of funds that have not yet been expended. **Canadian libraries should report expenditures in Canadian dollars.** (For your information, if interested in determining figures in U.S. dollars, divide Canadian dollar amounts by 1.1667, the average monthly noon exchange rate published in the Bank of Canada *Review* for the period July 2008-June 2009). **Please round figures to the nearest dollar.**

**Question 16a. Monographs.** Report expenditures for volumes purchased counted on line (3).

**Question 16b. Serial titles.** Report expenditures for serial titles counted on line (5a). Exclude unnumbered monographic and publishers' series, and encumbrances.

**Question 16c. Other library materials.** Include expenditures for all materials not reported in Questions (16a) and (16b), e.g., backfiles of serials, charts and maps, audiovisual materials, manuscripts, etc. If expenditures for these materials are included in lines (16a) and/or (16b) and cannot be disaggregated, please report U/A and provide a footnote. Do not include encumbrances.

**Question 16d. Miscellaneous expenditures.** Include any other **materials funds expenditures** not included in questions (16a)-(16c), e.g., expenditures for bibliographic utilities, literature searching, security devices, memberships for the purposes of publications, etc. Please list categories, with amounts, in a footnote. **Note:** If your library does not use materials funds for non-materials expenditures—i.e., if those expenditures are included in “Other Operating Expenditures”—**report 0, not NA/UA**, on line (16d).

**Question 17. Contract Binding.** Include only contract expenditures for binding done outside the library. If all binding is done in-house, state this fact and give in-house expenditures in a footnote; do not include personnel expenditures. **Note: this figure should also be reported in the 2008-09 ARL Preservation Survey, question (8b).**

**Questions 18. Salaries and wages.** Exclude fringe benefits. If professional, support staff and student salaries cannot be separated, enter NA/UA, in lines (18a), (18b) and (18c) and enter total staff salaries in line (18).

**Question 18c. Salaries and wages: Student Assistants.** Report 100% of student wages regardless of budgetary source of funds. Include federal and local funds for work study students.

**Question 20. Other operating expenditures.** Exclude expenditures for buildings, maintenance, and fringe benefits.

**Questions 22-26. Electronic expenditures.** These items are intended to indicate what portion of your institution's total library expenditures are dedicated to electronic resources and services. Please use the Footnotes to indicate any electronic materials expenditures you believe not to be covered by these questions. **Many expenditures recorded in these questions should have been included in question (21), total library expenditures.**

**Question 22. One-time electronic resource purchases.** Report expenditures that are not current serials (i.e. are non-subscription, one-time, or monographic in nature) for software and machine-readable materials considered part of the collections. Examples include periodical backfiles, literature collections, one-time costs for JSTOR membership, etc. Expenditures reported here may be derived from any of the following categories: Monographs (16a), Other Library Materials (16c), Miscellaneous (16d), or Other Operating Expenditures (20).

**Question 23. Ongoing electronic resource purchases.** Report subscription expenditures (or those which are expected to be ongoing commitments) for serial publications whose primary format is electronic and for online searches of remote databases such as OCLC FirstSearch, DIALOG, Lexis-Nexis, etc. Examples include paid subscriptions for electronic journals and indexes/abstracts available via the Internet, CD-ROM serials, and annual access fees for resources purchased on a “one-time” basis, such as literature collections, JSTOR membership, etc. Not all items whose expenditures are counted here will be included in Serial titles currently received question (5) or Serials Expenditures question (16b).



**Question 24. Bibliographic Utilities, Networks, and Consortia.** Because it is increasingly common for ARL Libraries to enter into consortial arrangements to purchase access to electronic resources, both “Library” and “External” expenditure blanks and instructions are provided. Please use a footnote to describe expenditures that you believe are not covered by the question, or situations that do not seem to fit the instructions.

**Question 24a. From internal library sources.** Report expenditures paid by the Library for services provided by national, regional, and local bibliographic utilities, networks, and consortia, such as OCLC and RLG, unless for user database access and subscriptions, which should be reported in questions (22) or (23). Include only expenditures that are part of Other Operating Expenditures (Q20).

**Question 24b. From external sources.** If your library receives access to computer files, electronic serials or search services through one or more centrally-funded system or consortial arrangements for which it does not pay fully and/or directly (for example, funding is provided by the state on behalf of all members), enter the amount paid by external bodies on its behalf. If the specific dollar amount is not known, but the total student FTE for the consortium and amount spent for the academic members are known, divide the overall amount spent by your institution’s share of the total student FTE.

**Question 25. Computer hardware and software.** Report expenditures from the library budget for computer hardware and software used to support library operations, whether purchased or leased, mainframe or microcomputer, and whether for staff or public use. Include expenditures for: maintenance; equipment used to run information service products when those expenditures can be separated from the price of the product; telecommunications infrastructure costs, such as wiring, hubs, routers, etc. Include only expenditures that are part of Other Operating Expenditures (20).

**Question 26. Document Delivery/Interlibrary Loan.** Report expenditures for document delivery and interlibrary loan services (both borrowing and lending). Include fees paid for photocopies, costs of telefacsimile transmission, royalties and access fees paid to provide document delivery or interlibrary loan. Include fees paid to bibliographic utilities if the portion paid for interlibrary loan can be separately counted. Include only expenditures that are part of Miscellaneous Materials Expenditures (16d) or Other Operating Expenditures (20), and only for those ILL/DD programs with data recorded in Questions (35)-(36).

**Questions 27. Personnel.** Report the number of FTE (full-time equivalent) staff in filled positions, or positions that are only temporarily vacant. ARL defines temporarily vacant positions as positions that were vacated during the fiscal year for which ARL data were submitted, for which there is a firm intent to refill, and for which there are expenditures for salaries reported on line (18).

Include cost recovery positions and staff hired for special projects and grants, but provide an explanatory footnote indicating the number of such staff. If such staff cannot be included, provide a footnote. To compute full-time equivalents of part-time employees and student assistants, take the total number of hours per week (or year) worked by part-time employees in each category and divide it by the number of hours considered by the reporting library to be a full-time work week (or year). **Round figures to the nearest whole numbers.**

**Question 27a. Professional Staff.** Since the criteria for determining professional status vary among libraries, there is no attempt to define the term “professional.” Each library should report those staff members it considers professional, including, when appropriate, staff who are not librarians in the strict sense of the term, for example computer experts, systems analysts, or budget officers.

**Question 27b. Support Staff.** Report the total FTE (see instruction (27) of staff not included in (27a).

**Question 27c. Student Assistants.** Report the total FTE (see instruction Q27) of student assistants employed on an hourly basis whose wages are paid from funds under library control or from a budget other than the library’s, including federal work-study programs. Exclude maintenance and custodial staff.

**Question 28. Number of staffed library service points.** Count the number of staffed public service points in the main library and in all branch libraries reported in this inventory, including reference desks, information desks, circulation, current periodicals, reserve rooms, reprographic services (if staffed as a public facility), etc. Report the number of designated locations, not the number of staff.

**Question 29. Number of weekly public service hours.** Report an unduplicated count of the total public service hours per typical full-service week (i.e., no holidays or other special accommodations) across both main library and branches using the

following method (corresponds to IPEDS): If a library is open from 9:00 a.m. to 5:00 p.m. Monday through Friday, it should report 40 hours per week. If several of its branches are also open during these hours, the figure remains 40 hours per week. Should Branch A also be open one evening from 7:00 p.m. to 9:00 p.m., the total hours during which users can find service somewhere within the system becomes 42 hours per week. If Branch B is open the same hours on the same evening, the count is still 42, but if Branch B is open two hours on another evening, or remains open two hours later, the total is then 44 hours per week. **Exclude 24-hour unstaffed reserve or similar reading rooms.** The maximum total is 168 (i.e., a staffed reading room open 7 days per week, 24 hours per day).

**Questions 30-31. Instruction.** Sampling based on a typical week may be used to extrapolate TO A FULL YEAR for Questions (30) and (31). Please indicate if responses are based on sampling.

**Question 30. Presentations to Groups.** Report the total number of sessions during the year of presentations made as part of formal bibliographic instruction programs and through other planned class presentations, orientation sessions, and tours. If the library sponsors multi-session or credit courses that meet several times over the course of a semester, each session should be counted. Presentations to groups may be for either bibliographic instruction, cultural, recreational, or educational purposes. Presentations both on and off the premises should be included as long as they are sponsored by the library. Do not include meetings sponsored by other groups using library meeting rooms. Do not include training for library staff; the purpose of this question is to capture information about the services the library provides for its clientele. Please indicate if the figure is based on sampling.

**Question 31. Participants in Group Presentations.** Report the total number of participants in the presentations reported on line (30). For multi-session classes with a constant enrollment, count each person only once. Personal, one-to-one instruction in the use of sources should be counted as reference transactions on line (32). Please indicate if the figure is based on sampling. Use a footnote to describe any special situations.

**Question 32. Reference Transactions.** Report the total number of reference transactions. A **reference transaction** is

*an information contact that involves the knowledge, use, recommendations, interpretation, or instruction in the use of one or more information sources by a member of the library staff. The term includes information and referral service. Information sources include (a) printed and nonprinted material; (b) machine-readable databases (including computer-assisted instruction); (c) the library's own catalogs and other holdings records; (d) other libraries and institutions through communication or referral; and (e) persons both inside and outside the library. When a staff member uses information gained from previous use of information sources to answer a question, the transaction is reported as a reference transaction even if the source is not consulted again.*

If a contact includes both reference and directional services, it should be reported as one reference transaction. Include virtual reference transactions (e.g., e-mail, WWW form, chat). Duration should not be an element in determining whether a transaction is a reference transaction. Sampling based on a typical week may be used to extrapolate TO A FULL YEAR for Question 32. Please indicate if the figure is based on sampling.

**EXCLUDE SIMPLE DIRECTIONAL QUESTIONS.** A directional transaction is an information contact that facilitates the logistical use of the library and that does not involve the knowledge, use, recommendations, interpretation, or instruction in the use of any information sources other than those that describe the library, such as schedules, floor plans, and handbooks.

**Questions 33-34. Circulation.** For Question (33), count the number of initial circulations during the fiscal year from the general collection for use usually (although not always) outside the library. Do not count renewals. Include circulations to and from remote storage facilities for library users (i.e., do not include transactions reflecting transfers or stages of technical processing). Count the total number of items lent, not the number of borrowers.

For Question (34), report total circulation for the fiscal year including initial transactions reported on line (33) and renewal transactions. Exclude reserve circulations; these are no longer reported.

**Questions 35-36. Interlibrary Loans.** Report the number of requests for material (both returnables and non-returnables) provided to other libraries on line (35) and the number of filled requests received from other libraries or providers on line (36). On both lines, include originals, photocopies, and materials sent by telefacsimile or other forms of electronic

transmission. Include patron-initiated transactions. Exclude requests for materials locally owned and available on the shelves or electronically. Do not include transactions between libraries covered by this questionnaire.

**Question 37. PhD Degrees.** Report the number awarded during the 2007-08 fiscal year. Please note that only the number of Ph.D. degrees are to be counted. Statistics on all other advanced degrees (e.g., D.Ed., D.P.A., M.D., J.D.) should not be reported in this survey. If you are unable to provide a figure for Ph.D.s only, please add a footnote.

**Question 38. PhD Fields.** For the purposes of this report, Ph.D. fields are defined as the specific discipline specialties enumerated in the U.S. Department of Education's Integrated Postsecondary Education Data System (IPEDS) "Completions" Survey. Although the IPEDS form requests figures for all doctoral degrees, only fields in which PhDs are awarded should be reported on the ARL questionnaire. Any exceptions should be footnoted.

**Question 39. Instructional Faculty.** Instructional faculty are defined by the U.S. Dept. of Education as:

*members of the instruction/research staff who are employed full-time as defined by the institution, including faculty with released time for research and faculty on sabbatical leave.*

Full-time counts generally exclude faculty who are employed to teach fewer than two semesters, three quarters, two trimesters, or two four-month sessions; replacements for faculty on sabbatical leave or leave without pay; faculty for preclinical and clinical medicine; faculty who are donating their services; faculty who are members of military organizations and paid on a different pay scale from civilian employees; academic officers, whose primary duties are administrative; and graduate students who assist in the instruction of courses. Please be sure the number reported, and the basis for counting, are consistent with those for 2006-07 (unless in previous years faculty were counted who should have been excluded according to the above definition). Please footnote any discrepancies.

**Questions 40-43. Enrollment.** U.S. libraries should use the Fall 2008 enrollment figures reported to the Department of Education on the Integrated Postsecondary Education Data System survey. Please check these figures against the enrollment figures reported to ARL last year to ensure consistency and accuracy. **Note:** In the past, the number of part-time students reported was FTE; the number now reported to IPEDS is a head count of part-time students. Canadian libraries should note that the category "graduate students" as reported here includes all post-baccalaureate students.

## FOOTNOTES

Please consult the data entry Web interface ([www.arlstatistics.org](http://www.arlstatistics.org)) for a copy of last year's footnotes. These can be found under "Data Repository" after you login into [www.arlstatistics.org](http://www.arlstatistics.org). Explanatory footnotes will be included with the published statistics. Reporting libraries are urged to record in the footnote section any information that would clarify the figures submitted, e.g., the inclusion and exclusion of branch campus libraries (see the "General Instructions" for definition of branch campus libraries). Please make an effort to word your footnotes in a manner consistent with notes appearing in the published report, so that the ARL Office can interpret your footnotes correctly.

**NOTE:** Any change over 10% in any answer to any of the survey's questions over the preceding year's response (2007-08) should be addressed with a footnote.

Submit the completed questionnaire  
By **October 15, 2009.**

For assistance, please e-mail Martha Kyrillidou ([martha@arl.org](mailto:martha@arl.org)) or Les Bland ([les@arl.org](mailto:les@arl.org))  
Tel. (202) 296-2296.